

**Clean Water State Revolving Fund  
Intended Use Plan  
Base  
&  
Bipartisan Infrastructure Law Capitalization Grant**

**Federal Fiscal Year 2023**

Submitted to the



**U.S. Environmental Protection Agency**

**Region IV**

By the



**Florida Department of Environmental Protection**

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## 1. Introduction

The State of Florida's Intended Use Plan (IUP) for the Clean Water State Revolving Fund (CWSRF) is prepared in accordance with the provisions of Title VI of the Clean Water Act of 1987, and the Federal Appropriations Acts. The capitalization grant base allotment from the Consolidated Appropriations Act, 2022 (Pub. L. 117-103) and funding allocated under the Bipartisan Infrastructure Law (BIL) are available.

The Appropriations Act for the FFY 2023 Base Capitalization and Bipartisan Infrastructure Law (BIL) Capitalization Grants includes requirements for "green" projects and for additional subsidization. "Green" projects are described in the U.S. Environmental Protection Agency (EPA) Memorandum entitled "Procedures for Implementing Certain Provisions of the Fiscal Year 2012 Appropriation Affecting the Clean Water and Safe Drinking Water State Revolving Fund Programs".

Florida's CWSRF program is also governed by Chapter 62-503 of the Florida Administrative Code (F.A.C.). The operating agreement between the Florida Department of Environmental Protection (FDEP) and the U.S. EPA further explains program implementation and the responsibilities of the FDEP.

This IUP is a required part of the process to request the Federal capitalization grant, which will be matched with 20 percent in state matching funds for the Base Capitalization Grant and 10 percent for the BIL Supplemental Capitalization Grant. This IUP describes the intended use of all State Revolving Fund (SRF) funds expected to be available to the CWSRF program including federal and state appropriations, recaptured funds, loan repayments, fees, and interest on the SRF cash balances.

Florida's allocation from the federal Fiscal Year (FFY) 2022 base capitalization grant was \$39,057,000 and BIL Supplemental Capitalization Grant (BIL) was 60,077,000 these funds were deposited in State Fiscal Year (SFY) 2023. A state match of \$7,811,400 for the base and \$6,007,700 for BIL was approved by the Florida Legislature in the SFY 2022 legislative session. The federal FY 2023 Base Capitalization Grant is expected to be at least \$25,320,000 with an additional \$70,359,000 available from the BIL Supplemental Capitalization Grant.

A required state match was approved by the Florida Legislature in the SFY 2023 legislative session. The CWSRF anticipates using the approved budget for matching funds as appropriated in the SFY 2023 legislative session.

Florida's CWSRF program is also governed by Chapter 62-503 & 62-505 of the Florida Administrative Code (F.A.C.). The operating agreement between the Florida Department of Environmental Protection (FDEP) and the U.S. EPA further explains program implementation and the responsibilities of the FDEP.

The capitalization grant funds for the CWSRF Base and BIL Capitalization funding from FFY 2023 will be distributed as outlined by this plan.

FDEP has set its short and long term goals of this IUP to align with EPA's strategic goals and objectives FY 2022-2026 EPA Strategic Plan. The Office of Water has identified specific measures that address the strategic goals and objectives outlined by EPA in its strategic plan. A basis for each goal in this program IUP has been identified. These references ensure that all of the specific commitments made by the State are properly correlated to the strategic goals and objectives of the Agency. <https://www.epa.gov/system/files/documents/2022-03/fy-2022-2026-epa-strategic-plan.pdf>

## 2. Program Goals

### A. Short Term Goals - Outputs

- A. To provide CWSRF assistance to the extent there are sufficient project applications of the CWSRF BIL and Base Capitalization Grant for equivalency in the amount of allocations.
- B. To provide subsidization to qualifying projects to the extent required and allowable by the capitalization grant guidelines.
- C. To ensure the projects receiving any Federal funds are in compliance with the Build America Buy America (BABA) Act passed by Congress in 2021, concurrently with the BIL.
- D. To ensure that Davis Bacon Act wage rules apply to all assistance agreements made with funds appropriated under the Base and BIL Supplemental Capitalization Grants.
- E. Assure that SRF funds are used effectively for wastewater treatment and stormwater management projects, non-point source pollution management projects and/or estuary conservation and management systems intended to resolve high priority public health and water quality concerns as well as other regulatory agency concerns.
- F. In accordance with 40 CFR §31.40 and 40 CFR §35.3165, as applicable, provide in the Annual Report or through the online CWSRF Benefits Reporting System, information regarding the achieved environmental results. Results shall include how the CWSRF impacts compliance, water quality, and designated uses.
- G. Assure that all funds are expended in an expeditious and timely manner by executing binding agreements in an amount not less than 120 percent of each Capitalization Grant payment within 1 year of receipt of such capitalization grant payment.
- H. Assure the fiscal, technical, and managerial integrity of the SRF program by preventing waste, fraud, and abuse. Projects will be inspected as necessary to make certain the project is constructed correctly and efficiently.
- I. Expedite project development and construction by encouraging projects to begin construction within one year of placement in the IUP. Projects on the fundable list for construction represent the vast majority of the committed funds and are ready to be submitted for bids. As a result, these projects nearly always begin construction within one year.

### B. Long Term Goals - Outcomes

- A. Ensure Clean and Safe Water for All Communities by funding projects that prioritize Reliable Water Infrastructure and Protect and Restore Waterbodies and Watersheds by addressing sources of water pollution and projects that ensure water quality standards are protective of the health and environment.
- B. Leverage the CWSRF funds by partnering with the various state and federal funding programs. By working with all funding sources, the CWSRF can ensure that the borrowers optimize the assistance and stretch the limited funds to provide the maximum environmental benefit possible.
- C. Contribute to statewide compliance with water quality standards. This goal can be facilitated through the planning, design and construction of cost-effective wastewater treatment and stormwater management facilities, non-point source pollution management systems, and estuary conservation and management programs.

- D. Facilitate small and financially disadvantaged community participation in the SRF program. CWSRF staff will continue to work with the domestic waste inspectors to identify projects that are needed to comply with the state and federal requirements. The facilities will be guided through the CWSRF and Small Community Construction Grant Programs to maximize the water quality benefit.
- E. Promote wastewater and stormwater facilities that support orderly and environmentally sound growth, discourage urban sprawl, support sustainable systems and help build or maintain the technical, financial, and managerial capacity of the recipients. Projects that expand collection systems into areas which are not currently developed will be discouraged through the priority system and will only be financed if excess funds are available.

### 3. Program Changes

To successfully implement the Base Program Capitalization Grant and BIL General Supplemental Grant, no changes to the rules of the program were made.

CWSRF will implement the Build America Buy America Act (BABA) as stated in 41 USC 8301 for projects funded through CWSRF Base and BIL Supplemental Capitalization Grants. BABA is considered a federal cross-cutting requirement that applies to SRF assistance equivalent to the Base and BIL Supplemental Capitalization Grants (i.e., “equivalency” projects). EPA’s SRF regulations at 40 CFR 35.3145 and 35.3575 require states and recipients of SRF funds equivalent to the amount of the capitalization grant to comply with federal cross-cutting requirements. Section 70914 of the IJIA, which states when a Buy America preference applies, explains that “none of the funds made available for a Federal financial assistance program for infrastructure may be obligated for a project unless all of the iron, steel, manufactured products, and construction materials used in the project are produced in the United States.” Therefore, BABA only applies to projects funded in an amount equivalent to the federal capitalization grant.

### 4. SRF Data System

The CWSRF will enter required data into the new EPA data system that has replaced the Clean Water Benefits Reporting (CBR) system and the Clean Water National Information System (CW-NIMS) to track clean water projects and report quarterly to the EPA.

### 5. Water Resources Reform and Development Act

The Water Resources Reform and Development Act added several requirements for CWSRF loan projects including:

- Davis-Bacon Wage Rates
- American Iron and Steel
- Fiscal Sustainability
- Cost and Effectiveness
- A/E Procurement Requirements

Davis-Bacon and American Iron and Steel had been added in previous appropriations and these amendments have made these requirements permanent.

The Bipartisan Infrastructure Law has added the Build America, Buy America Act requirements for CWSRF loan equivalency projects, this includes:

- All iron and steel used in the project are produced in the United States. This means all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States.
- All manufactured products used in the project are produced in the United States. This means the manufactured product was manufactured in the United States, and the cost of the components of the manufactured product that are mined, produced, or manufactured in the United States is greater than 55 percent of the total cost of all components of the manufactured product, unless another standard for determining the minimum amount of domestic content of the manufactured product has been established under applicable law or regulation.

CWSRF has formed an inspection team focused on timely on-site inspections and monitoring to assure compliance with these requirements by providing deliverables such as notes and checklists outlining the items inspected, staff in attendance and any deviations from the requirements that require addressing.

## 6. Operating Agreement

The CWSRF shall comply with all of the requirements of the CWSRF Operating Agreement made with EPA amended March 2016, including the assurances contained therein. The Operating Agreement is incorporated by reference.

### Assurances and Specific Proposals

The FDEP has provided the necessary assurance and certifications as part of the Operating Agreement between Florida and the U.S. EPA. The Operating Agreement (OA) describes the mutual obligations between U.S. EPA and the FDEP. The purpose of the OA is to provide a framework of procedures to be followed in the management and administration of the CWSRF. The OA has been revised and is currently being routed for signatures.

The OA addresses our commitment to key CWSRF requirements, including:

- 602(a) Environmental Reviews: The CWSRF will conduct environmental reviews according to the State Environmental Review Process developed for the CWSRF.
- 603(b)(3) Binding Commitments: The CWSRF will enter into binding commitments for 120 percent of each quarterly grant payment within one year of receipt of the payment.
- 602(b)(4) Expeditions and Timely Expenditures: The CWSRF will expend all funds in the CWSRF in a timely manner. Note that the OA is currently being revised to address changes to the CWSRF rule and the Clean Water Act.

### Federal Requirements for Equivalency Projects

Many federal requirements apply in an amount equal to the capitalization grant. These requirements are:

- Single Audit Act (OMB A-133)



- Disadvantaged Business Enterprise (DBE) compliance
- Federal environmental crosscutters
- Federal Funding Accountability and Transparency Act (FFATA) reporting
- Public Awareness Enhancement (Signage)
- Telecommunications Prohibitions
- Build America, Buy America Act

In addition to meeting the requirements Florida applies to all projects, these projects must also certify compliance with the DBE requirements and must comply with the FFATA requirements for executive compensation reporting. Furthermore, Florida agrees to comply with all Title VI requirements of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, and the Equal Employment Opportunity requirements (Executive Order 11246 as amended) which prohibit activities that are intentionally discriminatory and/or have a discriminatory effect based on race, color, national origin (including limited English proficiency), age, disability, or sex.

## 7. Project Selection and Methods of Distribution of Funds

States have flexibility to fund a wide variety of projects. Under CWA §606(c)(1), the IUP must include a list of publicly owned treatment works projects on the state's Project Priority List (PPL), developed pursuant to section 216 of the CWA, that are eligible for SRF construction assistance. This list must include: the name of the community; permit number or other applicable enforceable requirement, if available; the type of financial assistance; and the projected amount of eligible assistance.

The IUP must also contain a list of the activities eligible under section 603(c) of the CWA, including the nonpoint source and national estuary protection activities that the state expects to fund from its SRF. The fundable list must contain eligible projects for which the total cost of assistance requested is at least equal to the amount of the grant(s) being applied for.

The IUP must include a priority system for ranking individual projects for funding that provides sufficient detail for the public and EPA to readily understand the criteria used for ranking. The priority for the use of funds should address water quality, the most serious risks to public health, ensure compliance, and assist systems most in need based on the state's affordability criteria and disadvantaged community definition. States should review their SRF priority setting system to ensure they adequately address these priorities.

Florida's Project Priority Ranking System incorporates Basin Management Action Plans (BMAPs) by giving projects listed in BMAPs the second highest priority score, behind projects that eliminate a public health risk. This change will target projects that have been identified by the Department as necessary for a water body to achieve compliance for funding by the CWSRF. Projects that address compliance and enforcement issues will also have a high priority. Bonus points will be added to projects that will assist in the restoration or protection of Outstanding Florida Waters, National Estuaries, Wild/Scenic or Recreational Areas, or impaired water bodies; projects that eliminate ocean outfalls; and projects that qualify for small community economic hardship status.

Projects are identified by systems through a Request for Inclusion (RFI) submittal process. Once an RFI form is received, the sponsor's project is placed on a comprehensive list of projects.

FDEP project engineers review the form and assign points to projects based on the information provided by the project sponsor. All project sponsors submitting an RFI are contacted, and the program requirements are discussed. Sponsors that complete all readiness requirements are then eligible to compete for funding.

When two or more projects score equally under the project priority system a tie breaking procedure will be used. The project that completes the requirements for funding first will receive priority.

Unreserved funds are assigned to projects in priority score order, within the funding of the Base and BIL Capitalization Grant, until the funds are exhausted. Projects for which funding is not available and projects that are incompletely funded are placed on the waiting list for consideration in future years.

A project must be ready-to-proceed prior to being placed on the fundable portion of the list. If a sponsor fails to execute an assistance agreement in a timely manner it is subject to bypassing at a subsequent priority list hearing.

The fundable project priority list itemizing the output/outcomes of the policies and procedure outlined in this intended use plan for the SFY 2023 funds is included as **Appendix A**.

A. Criteria and Method for Distribution of Funds

Florida's Project Priority Ranking System incorporates Basin Management Action Plans (BMAPs) by giving projects listed in BMAPs the second highest priority score, behind projects that eliminate a public health risk. This change will target projects that have been identified by the Department as necessary for a water body to achieve compliance for funding by the CWSRF. Projects that address compliance and enforcement issues will also have a high priority. Bonus points will be added to projects that will assist in the restoration or protection of Outstanding Florida Waters, National Estuaries, Wild/Scenic or Recreational Areas, or impaired water bodies; projects that eliminate ocean outfalls; and projects that qualify for small community economic hardship status.

B. Types of Projects to be Funded and Financing Rates

Section 212 Projects

- Projects identified as qualifying for the CWSRF under the Clean Water Act Section 212 will have a financing rate determined by an algorithm which incorporates factors of market rate (MR), affordability index (AI) and population (P) according to the formula  $MR - 4 + (4/(1+(100/AI)^3)) - 1/\text{Log}(P)$ . The AI contains factors of median household income, unemployment and poverty for the service area affected by the project. For local government sponsors qualifying under Section 212 of the Act, the maximum financing rate is limited to the market rate, and the minimum is 0.2%. The financing rate shall be fixed for the principal amount of a planning, design, or construction loan including any amendments and for the duration of the loan repayment period. A planning loan may be rolled into a design loan, but the financing rate remains fixed. A design loan cannot be rolled into a construction loan. The financing rate on segments and increases which are continuing from preceding priority lists will be determined by the conditions indicated in each project's binding loan agreement. Florida's revised Rule implementing the CWSRF program provides financial incentives for the construction of green projects and the implementation of asset management plans by reducing the financing rate for projects meeting

certain requirements. Financing rates will be reduced 0.1 percent for all costs associated with a project, or a portion of a project, that qualifies as energy efficient, water efficient, green infrastructure or an environmentally innovative project. The financing rate will also be reduced by 0.1 percent for borrowers that document an implemented asset management plan. The financing rate will be reduced by 0.5 for all construction loans to help offset the increase in construction costs resulting from the Davis-Bacon wage rate requirements and as a result of the “American Iron and Steel” and/or Build America, Buy America Act requirements.

#### Section 319 and 320 Projects

To date, only two projects have been funded under Section 319, which allows funding to go to private systems. The financing rate for these two projects, by rule, is 50 percent of the market rate. There have, however, been a number of projects that have been co-funded with the Department’s nonpoint source program.

### 8. Public Notice and Public Meetings

To ensure interested parties were made aware of the public meeting, notice of the public meeting for the CWSRF Intended Use Plan and Priority List adoption was published in the Florida Administrative Register, Volume 49, Number 26, on July 12, 2023 (**Appendix B**). To ensure the public has an opportunity to review a draft version of the Intended Use Plan for the Base and BIL Capitalization Grants was presented to the public at least two weeks prior to August 9<sup>th</sup>, 2023, public meeting. During the public meeting, CWSRF will present and discuss the draft IUPs. Any comments received during the public meeting will be addressed during the meeting or after, as needed.

The meeting provides a forum for discussing the overall purpose, format, and content of the IUP including the types of assistance being provided through the CWSRF project account and the long- and short-term goals of the program, the priority system used to rank individual projects, and the proposed list of projects to receive funding from FFY 2023 funds.

### 9. Administrative Funds

The maximum *annual* amount of CWSRF money (not including any fees collected that are placed in the fund) that may be used to cover the reasonable costs of administering the fund (i.e., all BIL and base appropriations) is the greatest of the following: an amount equal to 4% of all grant awards to the fund received by a state CWSRF (less any amounts that have been used in previous years to cover administrative expenses) for the fiscal year; \$400,000; or 1/5 percent of the current valuation of the fund. The BIL did not alter these options or the calculation of available administrative funds and verification procedures already in place. **No money will be set aside for administration.**

### 10. Program Evaluation Report

CWSRF anticipates receiving and responding to Program Evaluation Report (PER) and audit findings for FFY2022 and 2023 funding in calendar year 2024 during and following the Annual Review/PER.

## 11. Sources and Use of the Funds

### A. Sources of Funds

CWSRF is anticipating funding Federal Fiscal Year (FFY) 2023 projects using the Base and BIL Capitalization Grants. The FFY 2023 Base Capitalization Grant is expected to be at least \$25,320,000 with an additional \$70,359,000 available from the BIL Supplemental Capitalization Grant.

The required state match was approved by the Florida Legislature in the SFY 2023 legislative session. The CWSRF anticipates using \$5,064,000 or 20% of the estimated Base Capitalization Grant and \$7,035,900 or 10% of the estimated BIL Supplemental Capitalization Grant for matching funds as appropriated in the SFY 2023 legislative session.

Other sources of funds include loan repayments of principal and interest, interest on SRF fund cash balances, Service Fees, and fund balances carried forward from FFY 2022.

If additional funds become available or deobligated, the department will distribute them through standard CWSRF methods at the next available Project Priority List meeting held quarterly.

It is not anticipated the source of funds will exceed the use of funds. Should projects not move forward expeditiously CWSRF will utilize the bypass procedure to elevate projects from the waiting list to the fundable list, up to the amount of funds available, to ensure that the Capitalization Grants are utilized expeditiously.

### B. Uses of Funds

Available funds will be utilized as follows:

- Up two percent (2%) set-aside from the federal FY 2023 capitalization grants may be used to provide technical assistance for disadvantaged communities. The CWSRF currently has budget authority to access \$1,982,680 of the existing service fee account for contracting with technical assistance providers. The service fee account is sufficient to fund the technical assistance needs at this time.
- The 2% of the Base Cap Grant, or \$506,400 and BIL Supplemental Cap Grant, or \$1,407,180 is not needed at this time, but CWSRF would like to reserve the right to amend this IUP in the future if needs arise during the administration of the requirements of the Bipartisan Infrastructure Law.
- Approximately \$1.5 million in service fee funds will be provided to the Florida Rural Water Association for three wastewater circuit riders, up to two inspectors, and up to three asset management plan developers. The circuit riders provide technical assistance to small and medium wastewater systems to ensure compliance with the rules and regulations associated with the Clean Water Act. The two inspectors will provide support to the SRF program staff by conducting construction inspections for SRF projects as directed by the program administrator. The asset management plan developers provide support for small wastewater systems that are required by the programs rules to adopt and implement asset management plans, also as directed by the program administrator. Systems receiving loans with principal forgiveness, and loans with an associated state grant are required to adopt and implement an asset management plan. These systems are offered this assistance at no charge through this program. Note that these asset management plans also meet the CWSRF requirements for fiscal sustainability plans.

- Fifteen percent (15%) of the total funds available is reserved for small community projects. See **Appendix A** for projects Florida considers a small community (population of 10,000 or less). These projects currently total \$75,090,108, or 25 percent of the obligated funds.
- The federal FY 2023 Base Capitalization grant authorizes a minimum of 20% and up to 40% in principal forgiveness in loans to qualifying systems. Florida allocates these funds in accordance with Section 403.1838, Florida Statutes, and Chapter 62-505, F.A.C.
- The federal FY 2023 BIL Supplemental Capitalization Grant requires 49% in principal forgiveness for loans to qualifying systems. Florida allocates these funds in accordance with Section 403.1838, Florida Statutes, and Chapter 62-505, F.A.C.
- As shown in **Appendix A**, the CWSRF Program is providing \$37,509,636 in principal forgiveness for the federal FY 2023 Base and BIL Supplemental Capitalization Grants, which is short of the minimum \$39,539,910 needed to meet the total cap grant requirements. A project that has been approved for funding at the next priority list hearing will be used to makeup the difference. Bushnell will be listed for a design loan at the next priority list meeting.  
In order to meet the 49% requirement for principal forgiveness related to the BIL Capitalization Grant, Wachula's construction project will be split between the Base and BIL Capitalization Grants principal forgiveness funding.
- The federal FY 2023 Base Capitalization Grant requires a minimum of \$2,532,000 or 10% of the federal FY 2023 Base Capitalization Grant to be reserved for loans for projects that meet Green Project Reserve requirements regardless of the projects' ranking in the priority setting system, to the extent that such projects can be identified as having met the program's readiness-to-proceed requirements and are on the fundable portion of the priority list as adopted at a public meeting. A total of \$2,647,500 was obligated to green projects or portions thereof for the Base Capitalization Grant.
- The federal FY 2022 BIL Capitalization Grant requires a minimum of \$7,035,900 or 10% of the federal FY 2022 BIL Capitalization Grant to be reserved for loans for projects that meet Green Project Reserve (GPR) requirements regardless of the projects' ranking in the priority setting system, to the extent that such projects can be identified as having met the program's readiness-to-proceed requirements and are on the fundable portion of the priority list as adopted at a public meeting. A total of \$24,294,174 was obligated to green projects or portions thereof for the BIL Capitalization Grant.
- When there are more loan projects eligible to receive funding than there are funds available, the maximum yearly funding (the segment cap) assigned to any single local government for loans is, by Rule, twenty-five percent of the unreserved funds. The segment cap for the past fiscal year was \$20 million and the amount for the next fiscal year 2024 will be determined based on funds available and demand for those funds at the first priority list meeting in August of 2023.

The Department has developed detailed schedules for implementing the SRF in FY 2023. This information is summarized in the following attachments:

- **Appendix A** is a summary of Florida's SFY23 Priority Listings. – Outcomes & Outputs
- **Appendix B** is proof of public notice for presentation of FY23 Intended Use Plan and SFY 2024 first quarter priority list meeting.
- **Appendix C** is the Request for Inclusion (RFI) to be included on the CWSRF Priority List. The RFI includes details for priority scoring projects.
- **Appendix D** summarizes Florida's Clean Water State Revolving Fund's historic capitalization from federal sources.

**Summary of Appendix A – Outcomes & Outputs for the FY23 Intended Use Plan**

**FY2023**

**Base Capitalization Grant Requirements**

Source of Funds	FY2023 Base Cap Grant
Florida Allocation	\$ 25,320,000
Additional Subsidy (20% - 40%)	\$ 5,064,000 to \$10,128,000
Green Project Reserve (10% Min)	\$ 2,532,000

**Base Capitalization Grant**

**Equivalency Projects**

	Project Sponsor	CWSRF #	SRF Loan
1	Flagler Beach (SFY23)	18013	\$ 5,577,267
2	Orlando (SFY23)	4804A	\$ 20,000,000
<b>Total =</b>			<b>\$ 25,577,267</b>

**Base Capitalization Grant**

**Subsidy Projects**

	Project Sponsor	CWSRF #	SRF Loan	PF	GPR
1	Wachula (SFY23) *	25016	\$ 8,628,000	\$ 3,033,726	\$ -
2	Bushnell (SFY24)	60044	\$ 2,922,686	\$ 2,338,148	
3	FGUA – Jungle Den (SFY23)	64030	\$ 2,647,500	\$ -	\$ 2,647,500
<b>Total =</b>			<b>\$ 11,275,500</b>	<b>\$ 5,371,874</b>	<b>\$ 2,647,500</b>

\* - Wachula’s subsidy allotment is split between BIL and Base requirements to meet 49% exactly for BIL Subsidy requirements.

**FY2023**

**BIL Supplemental Capitalization Grant Requirements**

Source of Funds	FY2023 BIL Cap Grant
Florida Allocation	\$ 70,359,000
Additional Subsidy (49%)	\$ 34,475,910
Green Project Reserve (10% Min)	\$ 7,0359,000

**BIL Supplemental Capitalization Grant Equivalency Projects**

	Project Sponsor	CWSRF #	SRF Loan
1	Century (SFY23)	17030	\$ 8,865,336
2	FGUA – Jungle Den (SFY23)	64030	\$ 2,647,500
3	Wachula (SFY23)	25016	\$ 8,628,000
4	Daytona Beach (SFY23)	6409A	\$19,771,394
5	Charlotte County (SFY23)	0802E	\$ 9,000,000
6	Winter Garden (SFY23)	48010	\$ 5,000,000
7	Lake Clarke Shores (SFY23)	01170	\$ 3,685,310
8	Gateway CD District (SFY23)	36049	\$ 2,149,200
9	Martin County (SFY24)	43025	\$ 9,760,989
<b>Total =</b>			<b>\$ 74,959,729</b>

**BIL Supplemental Capitalization Grant Subsidy Projects**

	Project Sponsor	CWSRF #	SRF Loan	PF	GPR
1	Wachula (SFY23) *	25016	\$ 8,628,000	\$ 3,868,674	\$ -
2	Newberry (SFY23)	01080	\$ 2,529,600	\$ 1,770,720	\$ -
3	Mascotte (SFY23)	35120	\$ 1,682,640	\$ 1,346,112	\$ -
4	Eastpoint W&SD (SFY23)	19071	\$ 602,199	\$ 481,759	\$ -
5	Indiantown (SFY23)	43033	\$ 1,087,000	\$ 869,600	\$ -
6	Eagle Lake (SFY23)	53092	\$ 375,000	\$ 187,500	\$ 375,000
7	Baldwin (SFY23)	16030	\$ 463,300	\$ 231,650	\$ 463,300
8	Lake Alfred (SFY23)	53052	\$ 80,000	\$ 40,000	\$ -
9	Center Hill (SFY23)	60010	\$ 75,000	\$ 37,500	\$ -
10	Archer (SFY23)	01032	\$ 987,254	\$ 789,803	\$ -
11	Bonifay (SFY23)	30016	\$ 708,892	\$ 638,003	\$ -
12	Springfield (SFY23)	03053	\$10,713,029	\$ 8,570,279	\$10,713,029
13	LaBelle (SFY23)	26034	\$ 650,000	\$ 325,000	\$ 650,000
14	Everglades City (SFY23)	11092	\$ 5,910,960	\$ 4,728,768	\$ -
15	Hawthorne (SFY23)	26044	\$ 72,000	\$ 36,000	\$ -
16	Havana (SFY23)	20053	\$ 3,172,800	\$ 2,538,240	\$ -
17	Century (SFY23)	17030	\$ 8,865,336	\$ 7,978,802	\$ -
18	Webster (SFY23)	60030	\$ 75,000	\$ 37,500	\$ -
19	Starke (SFY23)	04020	\$ 1,570,847	\$ -	\$ 1,570,847
20	Fort Meade (SFY23)	53112	\$ 1,000,000	\$ -	\$ 1,000,000
21	Dunedin (SFY23)	5202C	\$ 7,649,752	\$ -	\$ 7,649,752
22	Lake Wales (SFY23)	5303C	\$ 410,000	\$ -	\$ 410,000
23	Sebring (SFY23)	28034	\$ 1,462,246	\$ -	\$ 1,462,246
<b>Total =</b>			<b>\$ 45,865,970</b>	<b>\$ 34,475,910</b>	<b>\$ 24,294,174</b>

\* - Wachula’s subsidy allotment is split between BIL and Base requirements to meet 49% exactly for BIL Subsidy requirements.

## 12. Cash Draw

The “Automated Clearing House” payment schedule for FFY 2024 funding identifies the timing of the cash outlays by the Federal government. The anticipated cash draw is for 50% of the Base and BIL Supplemental Capitalization Grants to be taken in the first quarter of FFY 2024 with remaining 50% drawn in the second quarter of FFY 2024. Florida will draw the federal capitalization grants AFTER the required state match has been deposited for both grants, then follow the below schedule for drawing capitalization grant funding.

### Allocation of Funds

Category	Cash Draws for Projects	Cash Draws for Admin
FFY 2023 Base Capitalization Grant	\$ 25,320,000	\$ 0
FFY 2023 BIL Supplemental Capitalization Grant	\$ 70,359,000	\$ 0

### Payment Schedule

	Amount	Quarter
FFY 2023 Base Capitalization Grant	\$ 12,660,000	1st Quarter FFY 2024
FFY 2023 BIL Supplemental Capitalization Grant	\$ 17,589,750	1st Quarter FFY 2024
FFY 2023 Base Capitalization Grant	\$12,660,000	2nd Quarter FFY 2024
FFY 2023 BIL Supplemental Capitalization Grant	\$ 17,589,750	2nd Quarter FFY 2024
FFY 2023 BIL Supplemental Capitalization Grant	\$ 17,589,750	3rd Quarter FFY 2024
FFY 2023 BIL Supplemental Capitalization Grant	\$ 17,589,750	4th Quarter FFY 2024

## 13. Loan Agreements and Binding Commitments

CWSRF will assure that all funds are expended in an expeditious and timely manner, by executing binding agreements in an amount equal to not less than 120 percent of the amount of each FY 2023 Base and BIL Supplemental Capitalization Grants payment within 1 year after the receipt of such capitalization grant payment.

No additional binding commitments are anticipated with the FY 2023 Base and BIL Supplemental Capitalization Grants.

## 14. Assistance, Terms, and Fees

The assistance to be provided by both the Base Program General Capitalization Grant and the BIL General Supplemental Capitalization Grant is loan agreements with subsidization for planning, design, and construction. The funding will be provided as a planning, design, planning & design, or construction loan agreement.

The term of planning and design loan agreements is 10 years and a construction loan agreement is 20 years, with an option for 30 years for unique circumstances.

Each loan agreement has a minimum 0.2% interest rate. The interest rate for loan agreements is based on the Bond Buyer 20 GO Index for the prior quarter and discounted based on median household



income. Additional discounts are given for implementation of an Asset Management Plan, American Iron & Steel and Davis-Bacon, and the Build America Buy America Act.

A loan service fee, currently 2%, of the total loan amount less the portion of the loan for capitalized interest and is not included in the principal of the loan is assessed on each loan agreement.

## 15. Transfer of Funds

CWSRF does not anticipate a need to transfer funds to Drinking Water State Revolving Fund (DWSRF); however, CWSRF does reserve the right to in the future as needed.

## 16. Cross-Collateralization

CWSRF funds will not be used for debt security. There is no cross-collateralization of programs.

## 17. Green Project Reserve

The State agrees that the funds provided by the Base and BIL Supplemental Capitalization Grants may, at the discretion of the State, be used for projects to address green infrastructure, water or energy efficiency improvements, or other environmentally innovative activities.

## 18. Equivalency Projects

As no state match is required, all projects listed on the project priority list are equivalency projects and subject to crosscutter review, Federal Funding Accountability and Transparency Act (FFATA), minority business enterprise (MBE) and BABA, requirements.

CWSRF will implement the Build America Buy America Act (BABA) as stated in 41 USC 8301 for projects funded through CWSRF Base and BIL Supplemental Capitalization Grants. BABA is considered a federal cross-cutting requirement that applies to SRF assistance equivalent to the Base and BIL Supplemental Capitalization Grants (i.e., “equivalency” projects). EPA’s SRF regulations at 40 CFR 35.3145 and 35.3575 require states and recipients of SRF funds equivalent to the amount of the capitalization grant to comply with federal cross-cutting requirements. Section 70914 of the IJA, which states when a Buy America preference applies, explains that “none of the funds made available for a Federal financial assistance program for infrastructure may be obligated for a project unless all of the iron, steel, manufactured products, and construction materials used in the project are produced in the United States.” Therefore, BABA only applies to projects funded in an amount equivalent to the federal capitalization grant.

## 19. Bypass Procedure

A project must be ready-to-proceed prior to being placed on the fundable portion of the list. If a sponsor fails to execute an assistance agreement in a timely manner it is subject to bypassing at a subsequent priority list hearing.

## 20. Amending Priority List

CWSRF will continuously amend the priority list through the course of the year. CWSRF anticipates amending the priority list on no less than a quarterly basis. Amending the priority list will be conducted

through public noticing and public meetings. As more RFIs are submitted, readjustment of funding may be conducted. Should projects not move forward expeditiously CWSRF will utilize the bypass procedure to elevate projects from the waiting list to the fundable list, up to the amount of funds available, to ensure that the Base and BIL Supplemental Capitalization Grants are utilized expeditiously.

## 21. Disadvantaged Communities

### Disadvantaged Communities and Sustainability Policy

Projects meeting the eligibility criteria for Florida’s Small Community Wastewater Construction Grants Program (SCWCGP), Chapter 62-505, F.A.C., will be designated as recipients of additional subsidies to be provided as principal forgiveness, pursuant to the “Special Provisions” of the Appropriations Act. To qualify, the project sponsor must be a municipality with a population of 10,000 or less with a per capita income less than the state per capita income. The percentage of the loan forgiven will be based on the weighted average of priority categories and the affordability index of the project sponsor. Projects that have the same final priority score shall be further ranked by the project’s affordability index, where projects with a lower affordability index will be ranked above those projects with a higher affordability index. Each qualifying project shall be limited to no more than 25% of the available subsidy funds for the fiscal year. Once the available subsidy has been established, funding is assigned to projects moving down the priority list until all the available funding is exhausted.

Projects that have the same priority score shall be further ranked by the project’s affordability index, where projects with a lower affordability index will be ranked above those projects with a higher affordability index.

Phase	Affordability Index	Subsidy Percentage
Planning	N/A	50%
Design	< 65	90%
	65 - 100	80%
	> 100	70%
Construction	< 65	90%
	65 - 100	80%
	> 100	70%

- Planning percentage shall be 50%.

- Design percentage. The base percentage shall be 70%. If the affordability index associated with the project is less than 65, then an additional 20% is added to the base percentage. If the affordability index associated with the project is greater than or equal to 65 but less than 100, then an additional 10% is added to the base percentage.

- Construction percentage. The base percentage shall be 70%. If the affordability index associated with the project is less than 65, then an additional 20% is added to the base percentage. If the affordability index associated with the project is greater than or equal to 65 but less than 100, then an additional 10% is added to the base percentage.

All of the aforementioned grant percentages in this paragraph are predicated upon the project sponsor adopting and implementing an asset management plan that meets all of the requirements in subsection 62-503.700(7), F.A.C. Failure to adopt and implement such an asset management plan prior to the final disbursement of the associated State Revolving Fund construction loan will reduce the construction grant percentage to 0%.

As stated above, the CWSRF rule incentivizes sponsors to develop and implement asset management plans. For small systems, the CWSRF has provided funding for the Florida Rural Water Association to establish a program for the sole purpose to develop fiscal sustainability plans, with sufficient detail to meet the asset management plan criteria for systems that otherwise would be unable to develop this plan. Among other benefits, these plans provide small-disadvantaged communities a tool for operating and maintaining their current system properly while planning for capital improvement needs. Thus far these plans have identified approximately \$250,000,000 in needs for Small Disadvantaged Communities. Financing rates on CWSRF loans for project sponsors that document an implemented asset management plan will receive a 0.1 percent reduction in the financing rate. The development of these plans will also be specifically included as eligible project costs.

## 22. Annual Report

An annual report will be submitted by 9/30/2024 that will quantify the results of Capitalization Grants awarded for FY2023.



**Appendix A: Florida State Fiscal Year 2023 Project Priority List (Results Deliverables)**

TIER	PRIORITY SCORE	APPLICANT/ PROJECT NBR	SMALL COMMUNITY	NPDES/ERP NUMBER	PROJECT TYPE/CLASS	PROJECT DESCRIPTION	ADOPTION DATE	LOAN TYPE	AGREEMENT DEADLINE	AUTHORIZED LOAN AMT	PRINCIPAL FORGIVENESS AMT	AMOUNT TO BE REPAYED	APPROPRIATION
Tier1	540	Mount Dora 35148		FLA010508	WW/Construction	Treatment (Treatment Plant Improvements)	8/31/2022	Increase	2/27/2023	\$12,000,000	\$0	\$12,000,000	
Tier1	519.28	Madison* 40040	YES	FLA116572	WW/Construction	Treatment & Transmission (Lift Station and WWTP rehab)	8/31/2022	Increase	2/27/2023	\$266,613	\$0	\$266,613	
Tier1	500	Oak Hill 01160		FLA011128	WW/Construction	Treatment (Sewer Retrofit)	5/17/2023	Increase	12/13/2023	\$300,000	\$0	\$300,000	
Tier1	495	Hollywood 0604C		FL0026255	WW/Construction	Treatment (DIW Pump Station & RO Effluent Disposal)	8/31/2022	Increase	2/27/2023	\$20,000,000	\$0	\$20,000,000	
Tier1	450	Largo 52027		FL0026603	WW/Construction	Treatment (WWRF Biological Treatment )	8/31/2022	Increase	2/27/2023	\$4,291,517	\$0	\$4,291,517	FY2022 BASE CAP GRANT
Tier1	420	North Bay Village 13046		N/A	WW/Construction	Collection & Transmission (Lift Station Rehab and Upgrade)	8/31/2022	Increase	2/27/2023	\$4,572,815	\$0	\$4,572,815	
Tier1	415	Miami-Dade W&S Authority 1302A		FLA024805	WW/Construction	Treatment (Deep Injection Wells)	8/31/2022	Increase	2/27/2023	\$20,000,000	\$0	\$20,000,000	
Tier1	411	Lake Hamilton* 53164	YES	N/A	WW/Construction	Collection & Treatment (Septic to Sewer)	8/31/2022	Increase	2/27/2023	\$2,493,954	\$0	\$2,493,954	
Tier1	400	Flagler Beach* 18013	YES	FL0026611	WW/Construction	Treatment (Upgrade to AWT)	8/31/2022	Increase	2/27/2023	\$5,577,267	\$0	\$5,577,267	FY2023 BASE CAP GRANT
Tier1	387	Starke* 04020	YES	FL0028126	WW/Construction	Collection & Transmission (Sewer Upgrades for SSOs)	5/17/2023	Increase	12/13/2023	\$1,570,847	\$0	\$1,570,847	GPR
Tier1	375	Cape Canaveral 05055		FL0020541	WW/Construction	Treatment (Headworks and Filter)	5/17/2023	Increase	12/13/2023	\$126,400	\$0	\$126,400	
Tier1	375	Titusville 05034		FL0103268	WW/Construction	Collection & Transmission (IRL Forcemain)	11/30/2022	Increase	5/29/2023	\$4,639,453	\$0	\$4,639,453	
Tier1	375	Haines City 53046		FLA012977	WW/Construction	Treatment & Transmission (WWTP Upgrade and Forcemain Improvements)	11/30/2022	Increase	5/29/2023	\$471,416	\$0	\$471,416	
Tier1	363	Mulberry* 53121	YES	FL0020338	WW/Construction	Treatment & Transmission (Lift Station and WWTP rehab)	11/30/2022	Increase	5/29/2023	\$1,189,950	\$0	\$1,189,950	
Tier1	361	Fort Meade* 53112	YES	FLA016529	WW/Construction	Collection System Rehab (Inflow/Infiltration and new Transmission)	11/30/2022	Increase	5/29/2023	\$1,000,000	\$0	\$1,000,000	GPR
Tier1	355	Cottondale* 32039	YES	FLA101168	WW/Construction	Collection & Transmission (Lift Station rehab)	11/30/2022	Increase	5/29/2023	\$550,004	\$0	\$550,004	
Tier 1	354.29	Bunnell* 18051	YES	FL0020907	WW/Construction	Treatment (Wastewater Treatment Plant Replacement)	8/31/2022	Increase	2/27/2023	\$2,160,022	\$0	\$2,160,022	
Tier1	350	Dunedin 5202C		FL0021326	WW/Construction	Treatment & Collection (System Upgrades)	5/17/2023	Increase	12/13/2023	\$7,649,752	\$0	\$7,649,752	GPR
Tier 1	350	Gulfport 5202B		FLA128830	WW/Construction	Collection & Transmission (Forcemain to St Pete)	8/31/2022	Increase	2/27/2023	\$61,624	\$0	\$61,624	
Tier1	166.4	Orlando 4804A		FLA010816	WW/Design-Build	Treatment (Rehab and Expansion of Conservl WWTP)	8/31/2022	Increase	2/27/2023	\$20,000,000	\$0	\$20,000,000	FY2023 BASE CAP GRANT
Tier1	138	FGUA - Jungle Den* 64030	YES	FLA011261	WW/Construction	Collection & Transmission (l/l Correction and LS Improvements)	5/17/2023	Increase	12/13/2023	\$755,941	\$0	\$755,941	GPR
Tier1	120	Palm Coast 18043		FL0710008	WW/Construction	Treatment Expansion (Expand WWTP Capacity)	8/31/2022	Increase	2/27/2023	\$7,027,099	\$0	\$7,027,099	
Tier1	100	Longwood 59055		FLA011086	WW/Construction	Collection & Transmission (Septic to Sewer)	8/31/2022	Increase	2/27/2023	\$1,093,438	\$0	\$1,093,438	
Tier1	100	Daytona Beach 64098		FLA111392	WW/Construction	Treatment (Sludge Dewatering Improvements)	8/31/2022	Increase	2/27/2023	\$228,606	\$0	\$228,606	
Tier1	100	Davenport* 53072	YES	FLA377392	WW/Construction	Treatment (Treatment Plant Improvements)	8/11/2021	Increase	3/9/2022	\$2,165,900	\$0	\$2,165,900	
Tier1	100	Melbourne 05091		FLA010323	WW/Construction	Treatment (Treatment and Biosolids Handling)	8/31/2022	Increase	2/27/2023	\$10,711,500	\$0	\$10,711,500	

**Appendix A: Florida State Fiscal Year 2023 Project Priority List (Results Deliverables)**

Tier1	100	Davenport* 53072	YES	FLA377392	WW/Construction	Treatment (WWTP Improvements)	11/30/2022	Increase	5/29/2023	\$7,584,100	\$0	\$7,584,100	
Tier2	480	Martin County 43025		FLA043192	WW/Construction	Collection & Transmission (Septic to Sewer)	8/31/2022	New	2/27/2023	\$20,000,000	\$0	\$20,000,000	
Tier2	400	Punta Gorda 08032		FLA118371	WW/Construction	Treatment (Treatment Plant Upgrade)	8/31/2022	New	2/27/2023	\$20,000,000	\$0	\$20,000,000	
Tier2	400	Deerfield Beach 06011		N/A	WW/Design-Build	Collection & Transmission (Reclaimed Water Forcemain)	11/30/2022	New	5/29/2023	\$1,900,606	\$0	\$1,900,606	
Tier2	392	Century* 17030	YES	FL0032468	WW/Construction	Collection & Treatment (Lift Station and WWTF Upgrades)	8/31/2022	New	2/27/2023	\$8,865,336	\$7,978,802	\$886,534	FY2023 BILCAP GRANT
Tier2	386	Havana* 20053	YES	FLA100765	WW/Construction	Collection & Transmission (Lift Station Rehab and Upgrade)	8/31/2022	New	2/27/2023	\$3,172,800	\$2,538,240	\$634,560	
Tier2	386	Hawthorne* 26044	YES	FLA011291	WW/Planning	Treatment (Treatment Plant Upgrade)	8/31/2022	New	2/27/2023	\$72,000	\$36,000	\$36,000	
Tier2	385	Everglades City* 11092	YES	FLA027618	WW/Construction	WWTP Improvements (Wastewater Treatment Facility Replacement)	8/31/2022	New	2/27/2023	\$5,910,960	\$4,728,768	\$1,182,192	
Tier2	379	LaBelle* 26034	YES	FLA014283	WW/Planning	Major Sewer Rehab (Rehab sewers, manholes, pump stat., & FM)	8/31/2022	New	2/27/2023	\$650,000	\$325,000	\$325,000	GPR
Tier2	361	Springfield* 03053	YES	N/A	WW/Construction	Collection System Rehab (Inflow/Infiltration and new Transmission)	8/31/2022	New	2/27/2023	\$10,713,029	\$8,570,279	\$2,142,750	GPR
Tier2	356	Bonifay* 30016	YES	FL0027731	WW/Design	Collection & Transmission (Lift station & Force Main)	5/17/2023	New	12/13/2023	\$708,892	\$638,003	\$70,889	
Tier2	354	Archer* 01032	YES	FLA752738	WW/Design	Collection & Transmission (Septic to Sewer)	8/31/2022	New	2/27/2023	\$987,254	\$789,803	\$197,451	
Tier2	352	Center Hill* 60010	YES	N/A	WW/Plan	Treatment & Collection (Septic to Sewer)	5/17/2023	New	12/13/2023	\$75,000	\$37,500	\$37,500	
Tier2	352	Lake Alfred* 53052	YES	FLA012975	WW/Plan	Treatment (Upgrade Treatment for Aquifer Recharge)	5/17/2023	New	12/13/2023	\$80,000	\$40,000	\$40,000	
Tier2	352	Baldwin* 16030	YES	FL0027812	WW/Plan	Collection (Inflow/Infiltration Correction)	11/30/2022	New	5/29/2023	\$463,300	\$231,650	\$231,650	GPR
Tier2	352	Webster* 60030	YES	N/A	WW/Plan	Collection & Treatment (Wastewater System Improvements)	11/30/2022	New	5/29/2023	\$75,000	\$37,500	\$37,500	
Tier2	351	Eagle Lake* 53092	YES	N/A	WW/Plan	Collection (Inflow/Infiltration Correction)	11/30/2022	New	5/29/2023	\$375,000	\$187,500	\$187,500	GPR
Tier2	351	Indiantown* 43033	YES	N/A	WW/Design	Collection System Rehab (Inflow/Infiltration and new Transmission)	8/31/2022	New	2/27/2023	\$1,087,000	\$869,600	\$217,400	
Tier2	340	Lake Wales 5303C		FLA129844	WW/Plan	Collection System (SSES for I/I)	5/17/2023	New	12/13/2023	\$410,000	\$0	\$410,000	GPR
Tier3	340	Sebring 28034		FLA014311	WW/Design	Collection (Inflow/Infiltration Correction)	8/31/2022	New	2/27/2023	\$1,462,246	\$0	\$1,462,246	GPR
Tier2	340	East Point W&SD* 19071	YES	FLA010065	WW/Design	Treatment (Treatment Plant Upgrade)	8/31/2022	New	2/27/2023	\$602,199	\$481,759	\$120,440	
Tier3	340	Mascotte* 35120	YES	N/A	WW/Design	Septic to Sewer (Collection/Transmission Improvements)	8/31/2022	New	2/27/2023	\$1,682,640	\$1,346,112	\$336,528	
Tier3	340	Winter Garden 48010		FL0020109	WW/Design	Treatment (Treatment Plant Upgrades)	8/31/2022	New	2/27/2023	\$5,000,000	\$0	\$5,000,000	FY2023 BILCAP GRANT
Tier3	340	Newberry* 01080	YES	FLA011292	WW/Design	Treatment (Wastewater Treatment Facility Replacement)	8/31/2022	New	2/27/2023	\$2,529,600	\$1,770,720	\$758,880	
Tier3	340	Winter Springs 59062		FLA011067	WW/Design	Treatment (Wastewater Treatment Facility Replacement)	8/31/2022	New	2/27/2023	\$5,452,000	\$0	\$5,452,000	FY2023 BILCAP GRANT
Tier3	340	Crestview 46072		FLA010193	WW/Plan	Effluent Disposal (Alternative Disposal Site)	8/31/2022	New	2/27/2023	\$700,000	\$0	\$700,000	
Tier3	340	LaBelle* 26036	YES	FLA014283	SW/Plan	Treatment (Stormwater Master Plan)	8/31/2022	New	2/27/2023	\$150,000	\$0	\$150,000	
Tier3	340	Groveland* 35063	YES	FLA010513	SW/Plan	Treatment (Stormwater Master Plan)	8/31/2022	New	2/27/2023	\$300,000	\$0	\$300,000	

**Appendix A: Florida State Fiscal Year 2023 Project Priority List (Results Deliverables)**

Tier3	340	Key Biscayne 13160		FL0024805	SW/Plan	Treatment (Stormwater Master Plan)	8/31/2022	New	2/27/2023	\$870,000	\$0	\$870,000	
Tier3	340	Englewood 58032		FLA014126	WW/Plan	Collection & Transmission (Wastewater System Improvements)	11/30/2022	New	5/29/2023	\$100,000	\$0	\$100,000	
Tier3	212	Wauchula* 25016	YES	FLA119890	WW/Con	Treatment (Treatment and Biosolids Handling)	8/31/2022	New	2/27/2023	\$8,628,000	\$6,902,400	\$1,725,600	FY2023 BILCAP GRANT
Tier3	138	FGUA - Jungle Den* 64030	YES	FLA011261	WW/Con	Collection & Transmission (I/I Correction and LS Improvements)	8/31/2022	New	2/27/2023	\$2,647,500	\$0	\$2,647,500	FY2023 BILCAP GRANT & GPR
Tier3	100	Pompano Beach 06246		FLA013581	SW/Con	Stormwater Improvements (Treatment - 3rd & 4th St NE)	8/31/2022	New	2/27/2023	\$6,344,000	\$0	\$6,344,000	
Tier3	100	Pompano Beach 06249		FLA013581	SW/Des	Stormwater Improvements (Treatment - Proj. 19, 23 & 24)	8/31/2022	New	2/27/2023	\$875,000	\$0	\$875,000	
Tier3	100	Charlotte County 0802E		FL0040291	WW/Con	Treatment (Treatment Plant Upgrade)	8/31/2022	New	2/27/2023	\$9,000,000	\$0	\$9,000,000	FY2023 BILCAP GRANT
Tier3	100	Charlotte County 0802J		FL0040291	WW/Con	Collection (Akerman Phase 2 - Zone 3&4 )	8/31/2022	New	2/27/2023	\$11,000,000	\$0	\$11,000,000	
Tier3	100	Daytona Beach 6409A		FL0025984	WW/Con(D-B)	Treatment (Influent PS & Headworks)	8/31/2022	New	2/27/2023	\$19,771,394	\$0	\$19,771,394	FY2023 BILCAP GRANT
Tier3	100	Lake Clarke Shores 01170		N/A	WW/Con	Septic to Sewer (Collection Improvements)	11/30/2022	New	5/29/2023	\$3,685,310	\$0	\$3,685,310	FY2023 BILCAP GRANT
Tier3	100	Gateway Services CDD 36049		FLA014542	WW/Con	Collection & Transmission (Wastewater System Improvements)	11/30/2022	New	5/29/2023	\$2,149,200	\$0	\$2,149,200	FY2023 BILCAP GRANT
TOTAL OBLIGATED PROJECTS:										<b>\$296,983,484</b>			
TOTAL OBLIGATED PRINCIPAL FORGIVENESS:										<b>\$37,509,636</b>			
TOTAL PRINCIPAL TO BE REPAYED:										<b>\$259,473,848</b>			

\*Small community <=10,000 (based on the 2010 Census for projects Listed after 6/30/2011)

<b>Small Community Funding = \$75,090,108</b>	<b>25.28% - of Obligated Funds</b>
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## **Appendix B**

### **Notice of Meeting/Workshop Hearing**



## Notice of Meeting/Workshop Hearing

### **DEPARTMENT OF ENVIRONMENTAL PROTECTION**

#### **Division of Water Restoration Assistance**

The Department of Environmental Protection, State Revolving Fund Program announces a public meeting to which all persons are invited.

DATE AND TIME: August 9, 2023, 2:00 p.m. – 4:00 p.m.

PLACE: Conference Room 137, Douglas Building, 3800 Commonwealth Boulevard, Tallahassee, Florida and Virtual meeting, email [Andrew.Briscoe@FloridaDEP.gov](mailto:Andrew.Briscoe@FloridaDEP.gov) for an invitation.

GENERAL SUBJECT MATTER TO BE CONSIDERED: A workshop will commence at 2:00 p.m. until no later than 4:00pm to present the Clean Water State Revolving Fund (CWSRF) and Drinking Water State Revolving Fund (DWSRF) Fiscal Year (FY) 2024 Intended Use Plans as well as FY 2024 Bipartisan Infrastructure Law intended use plans for both programs. This is to be immediately followed by a public meeting to discuss the issues and recommendations for management of the FY 2024 CWSRF and DWSRF priority lists of projects to be funded with loans under Chapter 62-503 and Chapter 62-552, Florida Administrative Code, respectively.

A copy of the agenda may be obtained by contacting: A copy of the agenda may be obtained by contacting: Andrew Briscoe, State Revolving Fund Program, 3900 Commonwealth Boulevard, Mail Station 3505, Tallahassee, Florida 32399-3000, (850)245-2928, [Andrew.Briscoe@FloridaDEP.gov](mailto:Andrew.Briscoe@FloridaDEP.gov).

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 5 days before the workshop/meeting by contacting: Andrew Briscoe, [Andrew.Briscoe@FloridaDEP.gov](mailto:Andrew.Briscoe@FloridaDEP.gov). If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1(800)955-8771 (TDD) or 1(800)955-8770 (Voice).

If any person decides to appeal any decision made by the Board with respect to any matter considered at this meeting or hearing, he/she will need to ensure that a verbatim record of the proceeding is made, which record includes the testimony and evidence from which the appeal is to be issued.

For more information, you may contact: Andrew Briscoe, (850)245-2928, [Andrew.Briscoe@FloridaDEP.gov](mailto:Andrew.Briscoe@FloridaDEP.gov), State Revolving Fund Program, 3900 Commonwealth Boulevard, Mail Station 3505, Tallahassee, Florida 32399-3000.



## **Appendix C**

### **Clean Water SRF Request for Inclusion (Includes Priority Scoring Criteria)**





# Florida Department of Environmental Protection

## REQUEST FOR INCLUSION ON THE CLEAN WATER PRIORITY LIST

Clean Water State Revolving Fund Program  
3900 Commonwealth Blvd., MS 3505, Tallahassee, FL 32399-3000

Process to receive a State Revolving Fund (SRF) Loan. This Request for Inclusion (RFI) form, Form RFI 1 per subsection 62-503.200(33), F.A.C., lets us know that you are interested in obtaining an SRF loan. Each RFI will be assigned a project engineer to assist you throughout the SRF funding process. The information contained in the RFI is used to determine a priority score for your project; and the priority score is used to rank projects on the SRF priority list. Only projects ranked on the fundable portion of the priority list will receive consideration for a loan. Your project engineer will assist you in understanding all program requirements necessary before you are asked to submit a loan application, Form Application 1 or Form Application 2 per paragraph 62-503.430(1)(a), F.A.C. Please note that costs incurred before the adoption of the project on the fundable or waiting portion of the priority list are ineligible for reimbursement.

**Type of Loan Requested in this Application.** Select only one loan category and project type.

Loan Category: Planning  Design  Inflow/Infiltration Rehabilitation  Construction

Project Type: Design/Bid/Build  Design/Build (D/B)  Construction Manager at Risk (CMR)

Note: Procurement of professional services must meet the requirements of the Consultants' Competitive Negotiation Act, Section 287.055, F.S.

### 1. Applicant's Name and Address.

Project Sponsor: \_\_\_\_\_ Contact Person: \_\_\_\_\_ Title: \_\_\_\_\_

\_\_\_\_\_  
(street address) (city) (county) (state) (zip code)

\_\_\_\_\_  
(telephone) (ext.) (email address)

Contact Person Address (if different): \_\_\_\_\_  
(street address) (city) (state) (zip code)

### 2. Name and Address of Applicant's Consultant (if any).

Firm: \_\_\_\_\_ Contact Person: \_\_\_\_\_ Title: \_\_\_\_\_

\_\_\_\_\_  
(street address) (city) (county) (state) (zip code)

\_\_\_\_\_  
(telephone) (ext.) (email address)

### 3. Certification by Authorized Representative.

I certify that this form and attachments have been completed by me or at my direction and that the information presented herein is, to the best of my knowledge, accurate.

\_\_\_\_\_  
(email address) (date)

\_\_\_\_\_  
(name, typed) (title)

\_\_\_\_\_  
(signature)

# REQUEST FOR INCLUSION ON THE CLEAN WATER PRIORITY LIST

## 4. Eligible Projects.

- a. Stormwater management facilities, such as detention/retention facilities, treatment facilities, etc. sponsored by a local government (eligible under Section 212 of the amended Clean Water Act).
- b. Wastewater management facilities, such as sewers, pump stations, treatment plants, reuse facilities, sludge facilities, etc. sponsored by a local government (eligible under Section 212 of the amended Clean Water Act).
- c. Nonpoint source pollution control best management practices for agriculture, silviculture, on-site treatment and disposal, wetlands, mining, marinas, brownfields or groundwater protection sponsored by any entity (eligible under Section 319 or 320 of the amended Clean Water Act).

## 5. Project Information (Please attach).

- a. Describe the project, its location, the scope, why it's needed and the environmental benefit.
- b. Attach maps showing system boundaries, existing and proposed service area, and project area.

## 6. Estimated Costs (Clean Water Act Section 212, 319, and 320).

- a. Planning and/or SSES including geotechnical studies and surveying \_\_\_\_\_
- b. Design \_\_\_\_\_
- c. Special Studies including feasibility studies \_\_\_\_\_
- d. Eligible Land (necessary land divided by total land times purchase price) \_\_\_\_\_
- e. Construction, Equipment, Materials, Demolition and Related Procurement \_\_\_\_\_
- f. Construction Contingency (10% of Item e) \_\_\_\_\_
- g. Technical Services during Construction \_\_\_\_\_
- h. Sum of Items a. through g. \_\_\_\_\_

## 7. Project Schedule.

(Month and Year)

- a. Submit the planning or SSES documentation \_\_\_\_\_
- b. Submit the design documents, obtain permits, and acquire sites (as necessary) \_\_\_\_\_
- c. Start activity (such as construction or non-structural best management practice) \_\_\_\_\_
- d. Complete activity (such as construction or non-structural best management practice) \_\_\_\_\_

## 8. Population

- a. Population served by the system \_\_\_\_\_
- b. Population to be served by the project \_\_\_\_\_

## 9. Project Priority

- a. Baseline Priority Categorization.

In the Table below, identify each of the project components for which the project qualifies and provide the component's construction cost. The baseline priority score (BPS) will be determined by prorating each component. The project sponsor must provide documentation that supports the selection of a base priority score of 350 points or greater.



# REQUEST FOR INCLUSION ON THE CLEAN WATER PRIORITY LIST

<u>Project Component</u>	<u>Priority Points</u>	<u>Component Construction Cost</u>
1. Eliminate a documented acute or chronic public health hazard. Examples include elimination of failing septic tanks, failing package plants, or elimination of sanitary sewer overflows.	500 points	_____
2. Implement a project included in, or to be implemented as a direct result of, an adopted Basin Management Action Plan or a Reasonable Assurance Plan approved pursuant to section 403.067, F.S.	450 points	_____
3a. Protect surface or ground water by preventing or reducing a documented source of pollution, pollution reductions necessary to meet regulatory requirements; or		
3b. Projects or activities by local governments or on-site system management entities, under section 319 of the Act, that correct septic tank failures in springsheds of first magnitude springs; or correct septic tank contributions to nutrient impaired spring systems.	400 points	_____
4. Address a compliance problem documented in an enforcement action where the Department has issued a notice of violation or entered a consent order with the project sponsor.	375 points	_____
5. Meet the criteria for a Green Project; correct excessive inflow/infiltration or other issues within the collection and transmission system that cause sanitary sewer overflows; scheduled rehabilitation; replacement; repair described in an approved asset management plan; or reuse that replaces an existing or proposed demand on a water supply.	350 points	_____
6. Planning and design loans; projects for the installation of wastewater transmission facilities to be constructed concurrently with other construction projects occurring within or along a transportation facility right-of-way; or for rehabilitation, replacement or repair not included in an approved asset management plan.	340 points	_____
7. Projects that construct other reclaimed water systems or residuals reuse systems that do not meet the criteria of component 5. above.	300 points	_____
8. Ensure compliance with other enforceable standards or requirements.	200 points	_____
9. Timely submitted projects that otherwise meet the requirements of the Act (including land or wastewater system acquisition projects).	100 points	_____
b. Restoration and Protection of Special Water Bodies.		
In order to qualify for a base score multiplier, identify which of the water bodies listed below that the project will assist in restoring or protecting; and reference the location in existing documentation where substantiating information may be found or attach other such substantiating information. If none are selected, the multiplier equals 1.0. If one or more are selected, the multiplier is 1.2. Supporting documentation must be provided for items selected.		
1. A priority water body identified in an adopted Surface Water Improvement and Management (SWIM) Plan.		<input type="checkbox"/>
2. A water body classified as Outstanding Florida Waters or Wild and Scenic Rivers.		<input type="checkbox"/>
c. Projects that document any of the following shall have bonus points added to the priority score after the adjustment under paragraph (b) above, as indicated. Items 3, 4 and 5 below are only applicable to financially disadvantaged small communities.		
1. Elimination of Ocean Outfalls.	15 points	<input type="checkbox"/>
2. Consistency with an Integrated Water Resource Management (One Water) plan.	15 points	<input type="checkbox"/>
3. Population of 10,000 or less as of most recent decennial census, and affordability index less than or equal to 100.	1000 divided by the affordability Index = _____ points.	
4. Negative population trend as defined in 62-505.300(2)(c)2, F.A.C.	25 points	<input type="checkbox"/>
5. End of useful life as defined in 62-505.300(2)(c)3., F.A.C.	25 points	<input type="checkbox"/>

Return the completed form to the State Revolving Fund Program, 3900 Commonwealth Blvd., MS 3505, Tallahassee, Florida, 32399-3000. The form may be scanned and emailed to SRF\_Reporting@dep.state.fl.us.