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# DRINKING WATER STATE REVOLVING FUND

ANNUAL REPORT  
SFY2022-2023

BASE PROGRAM CAPITALIZATION GRANT AND  
BIPARTISAN INFRASTRUCTURE LAW GENERAL  
SUPPLEMENTAL FUNDING

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**Submitted to the**



**U.S. Environmental Protection Agency  
Region IV**

**By the**



**Florida Department of Environmental Protection**

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## EXECUTIVE SUMMARY

The Florida Department of Environmental Protection (DEP) administers the Drinking Water State Revolving Fund (DWSRF) program in Florida in accordance with the Safe Drinking Water Act (SDWA), as amended in 1996, and Section 403.8532, Florida Statutes. The DEP’s State Revolving Fund (SRF) Program carries out the daily program activities associated with this program.

A total of 17 new projects met the “readiness to proceed” requirements for construction assistance and executed assistance agreements during this reporting period. These projects are listed in **Table 1**. An additional 13 projects received increases to their construction agreements for projects that were phased or incompletely funded in past years. In addition, 6 projects received preconstruction assistance. Preconstruction assistance provides funds for planning and design activities. Binding commitments during the period totaled \$129,702,812. Net commitments during the period, including decreases to binding commitments from prior years, totaled \$100,496,866.

We assisted 14 small drinking water systems during this reporting period. Eight small community sponsors received construction assistance in the form of a new construction loan. Two small community sponsors received an increase to an existing agreement and 4 received awards for preconstruction activities. The total funding provided to small communities during the period was \$29,263,924, or 29 percent of the net binding commitments. All of the small communities that requested funding received the maximum amount they were eligible to receive.

The total revenue received by the DWSRF during SFY 2023 was \$168,333,977. State funds deposited into the DWSRF fund totaled \$12,599,900. Principal and interest repayments of \$50,644,235 were received during this period and \$6,812,297 came from interest earned on state funds deposited into the SRF account. The Federal Fiscal Year’s (FFY) 2022 capitalization grant totaling \$27,448,545 was received on September 22, 2022 and a General Supplemental Funding award from the Bipartisan Infrastructure Law (BIL), totaling \$70,829,000, on September 29, 2022.

Set-aside funding for all programs for SFY 2023 was \$5,874,793. For SFY 2023, the cost of administering the DWSRF program was about \$1,296,241. Since program inception, the total cost of administering the DWSRF has been \$22,079,214. Florida did not receive an administrative set-aside in SFY 2023. Instead, the program charged service fees to cover administrative expenses.

## OVERVIEW OF FLORIDA’S DWSRF PROGRAM FOR SFY 2022

Total Investment: \$168.3 million

New Construction Projects (Executed Assistance Agreements): 17

Net Project Funding (Executed Assistance Agreements): \$100.5 million

Total Population Served (New Construction Projects): 281,507

Weighted Average Loan Interest Rate: 1.157 percent

Repayment Period for Standard Planning and Design Loans: 10 years

Repayment Period for Standard Construction Loans: 20 years

Repayment Period for Disadvantaged Assistance Loans: 30 years

Small Systems Funded: 13 awards for a total of \$29.2 million

Disadvantaged Community Funding: 27 systems for a total of \$106.3 million

Set-Aside Assistance: \$5.87 million

Cumulative assistance as a percentage of funds available: 94 percent

Cumulative Assistance Provided as a Percentage of Federal Grant (for projects): 199 percent

## I. INTRODUCTION

The State of Florida is pleased to submit the Annual Report on the DWSRF for State Fiscal Year (SFY) 2023. This report addresses operation of the DWSRF from July 1, 2022 through June 30, 2023. Florida received a capitalization grant award for the Federal Fiscal Year (FFY) 2022, totaling \$27,448,545, on September 22, 2022 and a General Supplemental Funding award from the Bipartisan Infrastructure Law (BIL), totaling \$70,829,000, on September 29, 2022.

The Florida Department of Environmental Protection (DEP) provides this comprehensive report to the public and the U.S. Environmental Protection Agency (EPA) Region IV to detail the activities undertaken to reach the goals and objectives set forth in the Intended Use Plan (IUP) developed for SFY 2023. The report documents the status of the program by describing the progress made toward short- and long-term program goals, the sources (e.g., federal grants) and uses (e.g., loans and grants) of the funds, the financial status of the DWSRF and compliance with federal DWSRF requirements.

The report is presented in five major sections. Section I of this report provides highlights of the program. Section II reports on the progress made toward reaching short- and long-term goals of the program. Section III report details on loan, grants and set-aside activities. Section IV provides an overview of financial conditions of the program. Finally, Section V reports on our compliance with provisions of the federal capitalization grant agreement and the operating agreement. Additionally, Section V addresses comments from the Program Evaluation Report, dated March 29, 2023, for the period of July 1, 2021 through June 30, 2022 as submitted by the EPA Region IV.

## II. GOALS AND ACCOMPLISHMENTS

DEP developed the following goals as part of the 2023 IUP. The short-term goals support the implementation of the program's long-term goals. The long-term goals provide a framework that guides management decisions for the DWSRF program.

### A. Short Term Goals

A description of the Program's short-term goals that were included in the 2022 IUP and the success in fulfilling the goals are as follows:

1. [Coordinate completion of set-aside work plans for each set-aside activity.](#)

This task has been completed for this reporting period and is progressing for SFY 2024. See Section III.B.2. of this report for details.

2. [Support the continuation of source water protection programs.](#)

This task has been completed for this reporting period and is progressing for SFY 2024. See Section III.B.2. of this report for details.

3. [Coordinate implementation of capacity development strategy with Public Water System Supervision \(PWSS\) staff.](#)

This task has been completed for this reporting period and is progressing for SFY 2024. See Section III.B.2. of this report for details.

4. Coordinate with Drinking Water Regulatory on assisting with the Lead and Copper Rule requirements for Florida's Community Water Systems (CWS) by developing a joint Lead Service Line (LSL) Inventory Program.

During the SFY the DWSRF applied for Federal funding for LSL inventories and prepared to receive and use the funds. This fiscal year, the DWSRF had public meetings to allocate funds for LSL inventories in July and August.

5. Continue the outreach activities to ensure that systems are aware of and understand DWSRF assistance options and the application process by presenting an annual statewide workshop to publicize the DWSRF program.

The DWSRF Program participated in a Funders Meeting on March 29, 2023 sponsored by Florida DEP's DWRA to discuss projects and updates among the various funding agencies and programs. These meetings provide an opportunity for the agencies to strengthen partnerships, share information and discuss ways to mutually assist communities in need. Representatives from the CWSRF Program, DWSRF Program, DEP's Nonpoint Source Management Program, Department of Economic Opportunity, Florida Rural Water Association, the five regional water management districts, the Southeast Rural Community Assistance Project and USDA Rural Development Rural Utilities Services. This activity will continue in SFY 2024.

DWSRF and CWSRF Programs held quarterly joint public meetings in August, November, February, and May. The meeting in August was to present the corresponding State Fiscal Year (SFY) 2023 Intended Use Plans for the SRF Capitalization Grants as well as Priority Lists of DWSRF and CWSRF projects. The other three quarterly priority list meetings were to commit project funding.

SRF staff members gave the following presentations during SFY 2023:

- "Florida's State Revolving Fund Forecast" at the FL/AL Rural Water Association joint conference in May 2023.
- "Water Restoration Assistance Funding" at the Florida Water Resources Conference in June 2023.

6. Target funding for infrastructure projects that make water systems more resilient to all threats – whether it is natural disasters, climate change, or threats such as bioterrorism and cyber-attacks – through presenting at Southeast Florida Utility Council (SEFLUC), Florida Water Resources Conference (FWRC) and other forums where Florida's resiliency projects are discussed as well as coordinate with Florida's Resiliency Funding Program on possibly co-funding of projects.

Due to staff turnover this SFY, limited progress was made on this item, but DWSRF hopes to revisit it in SFY 2024.

7. Maintain and improve a database that integrates drinking water project data with the program management data.

SRF completed a transition to a new database called ENABLE™ this year and has successfully abandoned the previous database with minimal disruption.

8. Assure that all funds in the program are expended in an expeditious and timely manner by executing binding agreements in an amount equal to not less than 120 percent of the amount of each capitalization grant payment within 1 year after the receipt of such capitalization grant payment.

This task is progressing. The DWSRF program has made a concerted effort to assist project sponsors in successfully applying for their DWSRF loan once they have been obligated funding at the public priority list meeting and entering into a loan agreement in an expeditious manner.

9. Assure the fiscal, technical and managerial integrity of the SRF program by preventing waste, fraud and abuse.

This task is ongoing. All DWSRF project management staff have attended or renewed their Florida Certified Contract Manager certification. Additionally, scheduled for SFY 2024 is a Division training on fraud prevention and detection is scheduled for SFY 2024.

10. Use the Federal DWSRF Database to track drinking water projects.

The DWSRF Program is now using the new EPA database to track all projects and compliance with the capitalization grant conditions concerning additional subsidization, equivalency, emerging contaminants, and lead service lines. As indicated in the database, the DWSRF has complied with the subsidization and equivalency requirements for FFY 2021. The Program has also obligated funding for projects at public meetings in a manner that complies with the subsidization and equivalency requirements for FFY 2022 for both the Base and BIL cap grants. The projects will be reflected in the EPA database after the agreements from the obligations are executed. As final amendments for these loan agreements are executed, the database is updated to reflect the final disbursed amount of funding provided.

## **B. Long-Term DWSRF Goals and Accomplishments**

There are 10 long-term goals described in the 2023 IUP. These goals are being addressed as follows:

1. It is a priority of the State to ensure a safe and adequate water supply for the small communities in Florida. Therefore, the DWSRF program has the goal of maximizing the small community participation in the DWSRF program.

As required by the SDWA, 15 percent of the funds available are reserved for small communities. To facilitate their participation, small financially disadvantaged communities are eligible to receive grant funds in the form of principal forgiveness. After the small communities have been funded, large financially disadvantaged communities can compete for the remaining grant funds. The large financially disadvantaged communities can only qualify for up to 20 percent grant funding for construction projects. The DWSRF rule includes extra priority points for small communities, based on population and median household income.

2. Provide assistance for projects that will facilitate compliance with national primary drinking water regulations under Section 1412 of the SDWA or otherwise significantly further the health protection objectives of the Act (Section 1452(a)(2)).

The DWSRF priority system is structured to fund acute public health risk projects first and then the chronic public health risk projects. Projects correcting compliance issues are the next priority and all then followed by other projects are funded if funds are available.

All projects require the publication of environmental review documents in the Florida Administrative Register and must be submitted for State Clearinghouse review before they can go to construction. Projects that meet certain conditions, and that are not environmentally controversial, may be exempt from the clearinghouse review. A Categorical Exclusion Notice is published for such projects. The environmental and clearinghouse reviews assure that other agencies' concerns are addressed.

3. Encourage systems that are not in compliance with the SDWA, projects that provide the greatest protection to public health and projects which assist systems most in need on a per household basis to participate in the DWSRF program.

Projects that correct public health risks receive the highest priority. For sponsors that meet certain financially disadvantaged criteria, up to 90 percent grants and 30-year loans are available.

4. Administer the program so that its revolving nature is assured in perpetuity. We will maintain the long-term financial integrity of the DWSRF by judicious use and management of its assets and by realizing an adequate rate of return. Also, assure the fiscal, technical and managerial integrity of the SRF program by preventing waste, fraud and abuse.

When the capitalization grants end, all set-asides will end. Program administration costs will be funded by service fees, which can be up to 4 percent of the loan amount. Repayments are assured through security measures built into the loan agreements.

In addition to low interest loans, the DWSRF rules allow up to 15 percent of the funds allocated each year to be used for grants to financially disadvantaged communities. These grants, however, are limited to a maximum of 35 percent of the capitalization grant for the year in which the funds are obligated. Loan repayments are assured through security measures contained in each agreement.

To assure the technical and managerial integrity of the program, the DEP continues to maintain a highly trained technical and administrative staff. As of June 30, 2023, the SRF Program had 17 employees assigned at least part time to the DWSRF program. This staff included three Professional Engineers, all of whom are assigned full time to the program and one part time Professional Engineer. Staff reviewed project activities to assure compliance with the various program requirements.

All projects are monitored to ensure that the funds disbursed are being spent on the planning, administration, design or construction that is appropriate for the project. Interim and closeout inspections are conducted at the project sponsor's facility to ensure that record keeping is adequate and that construction is within the scope of the facilities plan and approved plans and specifications.

5. Use the DWSRF set-aside funds strategically and in coordination with the program loans to maximize the DWSRF loan account's impact on achieving affordable compliance and public health protection.

The DWSRF program, the Source Water Protection (SWP) program and the Public Water System Supervision (PWSS) program work closely together to maximize the efforts of each program. The SWP and the PWSS programs both fund circuit riders with the Florida Rural Water Association. These circuit riders freely exchange information with staff from all three programs and encourage potential project sponsors to participate in funding opportunities with the DWSRF, Rural Development and the Department of Economic Opportunity. In addition, much of the capacity development and compliance work is funded through the PWSS set-aside.

6. Facilitate allocation of program resources to address the most significant public health and compliance problems by actively working with these systems and the drinking water regulatory staff.

Projects that correct a public health risk problem receive the highest priority score and those that are financially disadvantaged may receive a grant to correct the problem. In addition, staff from the PWSS program have received training on the DWSRF and frequently refer projects to us for assistance. These projects often get very personal attention from PWSS and DWSRF staff and generally overcome the many obstacles that these systems normally encounter.

7. Promote the development of the technical, managerial and financial capability of all public water systems to maintain or come into compliance with state drinking water and federal SDWA requirements.

The submission of a business plan is required from all project sponsors prior to receiving assistance from the DWSRF. This plan ensures that the system stays in compliance or, if it is out of compliance, that the project will bring the system into compliance.

8. Encourage the consolidation and/or regionalization of public water systems that lack the capability to operate and maintain systems in a cost-effective manner, thus allowing them to take advantage of the economics of scale available to larger water systems.

Projects involving consolidation or regionalization receive extra priority points by being included in the Compliance-2 category of the priority point system. The point system was described in the SFY 2023 IUP and can be found in Rule 62-552, Florida Administrative Code. All potential project sponsors are also strongly encouraged to look at options outside their current service area. Program staff strive to bring all potential partners to the table in the planning stages to ensure that regionalization has an adequate chance to come to fruition.

9. Provide drinking water assistance in an orderly and environmentally sound manner.

The priority list is based upon specific procedures that can be found in Rule 62-552, Florida Administrative Code. This rule specifies which projects can be funded from each appropriation and for what type of funding they are eligible. In addition, all projects undergo the State Clearinghouse's review process that includes other agencies and the general public.

10. Assure that all new water systems demonstrate technical, managerial and financial capability with respect to each national primary drinking water regulation in effect.

Rules have now been adopted that require all new systems to demonstrate technical, managerial, and financial capacity before they are permitted. These rules have been implemented by the PWSS Program.

### III. STATE REVOLVING FUND FINANCIAL INFORMATION

In this section together with, we provide a detailed discussion of the DWSRF assistance activities during SFY 2023. We provide details on the sources of funding in our program, the status of loan and grant activities, and the status of set-aside activities.

#### A. Sources of DWSRF Funds

Current revenues for the reporting period, as shown in **Table 2**, are \$168,333,977. Funding sources included the State match of \$12,599,900, repayments of \$50,644,235, DWSRF investment earnings on state funds deposited into the SRF account of \$6,812,297 and \$98,277,545 in federal cap grant funds.



In addition, \$68,533,818 was available as a result of decreases to previously funded projects, as shown in **Table 5a**. Also, the DWSRF started the fiscal year with a balance of \$38,278,181, as shown in **Table 5b**.

## B. Uses of DWSRF Funds

The set-asides utilized by Florida fund technical assistance to small public water systems, State and Local Assistance (includes Source Water Protection) and State Program Management (includes Public Water System Supervision). Details of each of these uses are provided in Section III.B.2 below.

The total funds available for projects were \$269,271,183. This amount is based on total current year revenues of \$168,333,977, plus decreases to previous agreements of \$68,533,818, plus the balance from the previous year of \$38,278,181, minus the set-aside funds of \$5,874,793.

### 1. Binding Commitments for Projects

**Table 1** provides details on the projects receiving assistance during SFY 2023.

As of June 30, 2023, 17 construction assistance agreements had been executed with 17 project sponsors. In addition, 13 existing construction agreements were amended to provide increases for projects that were phased or completely funded in previous years, and 6 project sponsor executed agreements during this period for preconstruction assistance.

Net assistance provided to public water systems for new projects, increases to existing projects, and preconstruction activities in SFY 2023 was \$100,496,866. The net assistance provided as loans totaled approximately \$63.7 million and the total provided as principal forgiveness (grants) to financially disadvantaged communities was \$36.7 million. **Table 2** provides the cumulative totals for revenues and commitments since the inception of the program.

#### Project Bypassing

To date, no project has been bypassed after being placed on the fundable list, and all projects that have completed the readiness to proceed requirements have been placed on the fundable list.

#### Small Systems

Fifteen percent of the funds available for obligation to projects in each funding cycle is reserved for small community water systems, or those serving less than 10,000 people. For this reporting period, the reserved funds totaled \$47,331,818. The sum of the binding commitments made to small systems (including decreases to prior year projects) during this reporting period was \$29,263,924 or 29 percent of the net binding commitments. All the small communities that requested funding received the maximum amount they were eligible to receive.

#### Financially Disadvantaged Systems

Florida allows up to 15 percent of the funds obligated to be used for assistance to financially disadvantaged communities with a further cap of 49 percent of the part of the capitalization grant available for projects, as required by the SDWA. The DWSRF program provides up to 90 percent principal forgiveness and 30-year loans to qualifying community water systems. Assistance provided during the reporting period to financially disadvantaged communities was \$106,378,193, or 40 percent of the funds available. The funds available include the total revenue available for projects, loan/grant decreases during the fiscal year and the balance of funds unencumbered from the previous fiscal year. The net

assistance provided in the form of principal forgiveness, including decreases to prior agreements, during this period was \$36,731,759.41.

## 2. Set-Asides

### Administration (4 Percent of each Capitalization Grant)

The DWSRF had taken a total of \$7,264,529 in administrative set-asides through SFY 2010; however, with the exception of \$490,225 in American Recovery and Reinvestment Act (ARRA) administration set-aside, the DWSRF has not taken an administrative set-aside since SFY 2003, but instead has reserved the right to take the set-asides from future capitalization grants, if needed. Florida is currently charging a service fee that covers the administrative expenses. As of June 30, 2023 the DWSRF made \$22,089,598.48 in administration charges from the service fee account, as shown in **Table 4b**. Charging the service fee throughout the life of the program will minimize the service fees that must be assessed after the capitalization grants end. For loans executed in the first funding year, service fees were included in the loan and deposited directly into the service fee account. Fees deposited directly total \$520,398. After the first year, the service fee was no longer included in the loan. It is now a separate fee, and is paid when the repayments begin. During the reporting period, \$2,772,704 was collected from project sponsors in service fees and interest on service fees. The total collected to date is \$20,949,032. In addition, interest earned on funds in the service fee account during the reporting period was \$135,116 and the total interest earned on fees in the account to date is \$3,452,164. The cumulative balance in the service fee account at the end of this reporting period was \$10,096,525. See **Table 3** for details on the use of administration funds.

The majority of the aforementioned funds paid salaries and associated expenses of personnel administering the DWSRF program. See **Table 3** for a detailed breakdown of the administrative expenses.

## IV. FINANCIAL SUMMARY

The following discussion provides additional details on the financial management activities in the DWSRF.

### A. Status of Loans

As of June 30, 2023, the DWSRF had closed a net of \$1,792,392,895 in loans and grants with a net amount of \$100,496,866 closed during SFY 2023. **Tables 5b and 5c** provides a summary of the source and use of the DWSRF project funds since program inception. **Table 1** shows the status of all DWSRF project assistance provided during this reporting period. Information provided includes the following: loan or grant amount, interest rate for loans and loan terms.

### B. Loan Disbursements/Cash Draw Proportionality

**Table 4a** lists revolving fund end-of-year disbursements, cash draw totals and fund balances since the inception of the DWSRF program. During SFY 2023, \$69,878,906 were disbursed to project sponsors for payment of project costs pursuant to assistance agreements. Approximately \$25 million in capitalization grant moneys were drawn from the Automated Clearing House (ACH) during SFY 2023 to pay the Federal share of project cost disbursements.

The ACH draws reimburse the DWSRF for the Federal share of disbursements for project costs and are distinguished from other funds. In past years, a uniform percentage was drawn through the ACH process for project cost disbursements; however, during SFY 2015 the process changed and the state match portion (20%) was drawn first, then after those funds were exhausted, 100% of the disbursements were drawn through the ACH.

### C. Set-Aside Disbursements (Excluding DWSRF Administration)

**Table 6** provides a listing for each set-aside related expense during the reporting period.

### D. Annual Repayment/Aging of Accounts

The DWSRF program has received \$761,992,344 in loan repayments (principal and interest only) through the end of SFY 2023, of which \$50,644,235 was received in SFY 2023 (**Table 5b**).

### E. Loan Portfolio Analysis

Florida assesses the financial condition of each project sponsor before agreements are signed. Sponsors that are pose a potential risk are carefully tracked throughout the year to ensure that conditions are not deteriorating.

### F. Investments

Interest accrued in the DWSRF project fund through the end of SFY 2023 totals \$70,678,299, of which \$6,812,297 was received in SFY 2023. Interest accrued is shown on **Table 2**. The Department of Insurance, Office of the Treasurer manages these funds in compliance with state investment practices. The DEP monitors the DWSRF account quarterly to confirm that the Treasurer credits interest to the DWSRF account in a timely fashion. Management reports from the statewide financial system that document the DWSRF investment earnings are on file in our offices.

### G. Financial Statements

The DEP's Finance and Accounting office maintains the official accounting system. The Bureau of Budget and Planning and SRF work closely with each other and Finance and Accounting to assure that accounting records are complete and accurate. The Office of Budget and Grants will prepare the financial statements for SFY 2023 and submit them to EPA.

## V. OPERATING AGREEMENT PROVISIONS AND CAPITALIZATION GRANT CONDITIONS

The State made a number of assurances in the Intended Use Plan and Operating Agreement. The State also accepted certain conditions in the capitalization grant agreement. Some of the more important assurances are discussed below.

### A. Provide a State Match

State matching funds in the amount of \$12,599,900 were deposited into the SRF fund in SFY 2023. To date, Florida has received \$891,084,850 in capitalization grants from EPA, not including the American Recovery and Reinvestment Act (ARRA; these funds did not require match). The total amount of state match required for these funds is \$171,434,070 . As of June 30, 2023, \$171,193,429 of State funds were deposited in the fund, as shown in **Table 2**. Any shortages will be addressed in future appropriations from the State Legislature.

## B. Binding Commitments within One Year

Federal regulations require the State to make binding commitments in an amount equal to 120 percent of each quarterly capitalization grant payment within one year of the payment. **Table 7** lists Federal payments to the letter of credit (LOC) by quarter and the cumulative binding commitments. Cumulative payments to the LOC at the beginning of the reporting period totaled \$924,443,490, including ARRA, and binding commitments by the end of the reporting period totaled \$ 1,792,392,895, which satisfies the 120 percent binding commitment requirement of \$ 1,109,332,188.

## C. Equivalency and Cap Grant Projects

"Equivalency" Projects refer to drinking water projects that meet certain Title II requirements of the federal SDWA. The cumulative equivalency requirement is \$897,531,963; that is, the sum of the portion of the capitalization grants allocated to projects that have been received since FFY 1997. For SFY 2023, the DEP designated 13 new construction projects as "cap grant" projects, including Base capitalization grant and BIL projects. All equivalency projects are required to have annual audits conducted under the Federal Single Audit Act. The "cap grant" projects are identified on **Table 1**, including which projects are Base capitalization grant projects and which are BIL projects, and the FFY associated with the project. As indicated in the reports from the Federal database formally known as Project Benefits Reporting (PBR), Florida's DWSRF program has achieved compliance with the additional subsidization requirements and equivalency requirements through FFY 2021. Also, the DWSRF program is on track to achieve compliance with these requirements through FFY 2022, once the agreements for the project listed at the SRF public meetings through August 2023 are executed.

## D. Expeditious Construction and Timely Disbursements

When a loan is executed, the date is set for the first repayment based on the scheduled completion date for project planning, design, or construction. The fixed repayment date is rarely revised to accommodate project delays and serves as incentive for timely construction of the project.

The DEP generally authorizes disbursement requests within two to five calendar days of receipt. The State Comptroller typically issues checks within seven calendar days of the DEP's authorization.

## E. MBE/WBE Participation

The State agreed to an overall fair share goal of 10 percent minority business entity/women business entity (MBE/WBE) participation (5 percent MBE and 5 percent WBE) for the capitalization grant used for making loans during the reporting period. Steps to encourage MBE/WBE participation were required for all the equivalency projects identified in **Table 1**. For SFY 2023, DWSRF had a total of \$67,824,047 in procurement for capitalization grant monies. Of that amount, a total of \$1,754,468 (4.15 percent) was MBE and \$1,373,273 (2.15 percent) was WBE.

## F. Assurances of Compliance

Each recipient of DWSRF assistance has made the required assurances of compliance with applicable Federal requirements. Loan agreements include local government assurances of compliance as covenants that are subject to audit.

All loan and grant recipients must conduct annual audits for DEP review. Such audits must be conducted in accordance with the Federal Single Audit Act. After project completion, the loan recipient must have a separate project specific audit conducted. In addition, the DEP has the option to conduct its own audit within three years of project closeout.

## G. State Environmental Review Process

Environmental reviews, similar to reviews required for projects under the National Environmental Protection Act (NEPA), were conducted for all construction projects in accordance with the Rule 62-552.700(3), Florida Administrative Code. A finding of no significant impact or categorical exclusion notice was published for each construction project. No Environmental Impact Statements were necessary.

## H. EPA's Annual Evaluation Comments

The recommendations provided by EPA Region IV (R4) in the most recent Program Evaluation Report (PER) are listed below with an update on the DWSRF Program's response to the recommendations.

1. "EPA R4 recommends FDEP continue in its effort to minimize unliquidated obligations (ULOs) within the set-aside program. At the time of this report, FDEP's set-aside balances totaled \$5,520,916.68 for FY18-FY21 cap grants with a spending rate of 74 percent."

The DWSRF has communicated with the Division of Water Resource Management (DWRM) concerning the issue of unliquidated obligations (ULOs). DWRM has agreed to work towards using the funds available in a more timely manner and has agreed to reduce requests for future set-aside funding until the balance of ULO is reduced to an acceptable level.

2. "EPA recommends that the state update its DWSRF Operating Agreement to reflect updates to the SRF program and to incorporate new changes introduced by the BIL, such as the Build America, Buy America Act and enhancing public awareness BIL SRF signage requirement."

The DWSRF has made it a priority to update the Operating Agreement pursuant to EPA's recommendation. A draft update has been started and we expect to complete the update sometime this state fiscal year.

3. "EPA recommends that FDEP require SRF assistance recipients to determine whether their proposed projects will be in or affect a floodplain, using one of the approaches defined in the Federal Flood Risk Management Standard (FFRMS) (Executive Order No. 11988, as amended by Executive Order No. 13690). If a proposed project will involve new construction or substantial improvements that affect a floodplain, the FFRMS requires both the SRF agency (FDEP) and the assistance recipient to undertake additional actions."

All the DWSRF Project Managers were made aware of this recommendation and received a copy of the EPA memorandum concerning "Re-Instatement of Federal Flood Risk Management Standard for State Revolving Fund Programs" and the attachment to the memo, and are using the information while reviewing DWSRF project documents, including planning documents.

4. "EPA recommends the DWSRF loan agreements be updated to require the assistance recipient to comply with the Equal Employment Opportunity requirements (Executive Order 11246), the Civil Rights Act of 1964, and Section 504 of the Rehabilitation Act of 1973."

As of late March 2023, the DWSRF now includes Civil Rights language in all new agreements and in updates to existing agreements when amendments to the agreements are executed. The language is usually in Section 8.15 in construction agreements and Section 8.12 in planning/design agreements. Here is an example of the language used:

*8.15. CIVIL RIGHTS.*

*The Project Sponsor shall comply with all Title VI requirements of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, and the Equal Employment Opportunity requirements (Executive Order 11246, as amended) which prohibit activities that are intentionally discriminatory and/or have a discriminatory effect based on race, color, national origin (including limited English proficiency), age, disability, or sex.*

## Tables

**Table 1: Projects Funded in SFY 2023**

Funding Number	Project Sponsor	Grant Amount	Loan Amount	Agreement or Amendment Date	Agree Type	Type of Funding	Small Comm.	Financially Disadvantage Assistance	Interest Rate	Number of Payments	Popul.	Additional Subsid. Year (FFY)	Equivalency Year (FFY)
150520	Big Bend WA	\$0	\$36,000	8/23/2022	I	L/G	Yes	Yes	1.51	20	3,000	N/A	N/A
150521	Big Bend WA	\$4,145,216	\$1,126,584	4/10/2023	C	L/G	Yes	Yes	1	40	3,000	2023 (Bil)	2023 (Bil)
510412	Dade City	\$0	\$250,000	5/2/2023	I	L/G	No	Yes	1.02	40	14,373	N/A	2021 (Base)
061230	Dania Beach	\$0	\$4,600,000	6/20/2023	C	L	No	Yes	1.56	40	17,132	N/A	2022 (Bil)
640990	Daytona Beach	\$0	\$4,596,456	2/10/2023	I	L/G	No	Yes	0	40	76,700	N/A	2021 (Base)
460121	Users, Inc.	\$0	\$2,080,000	5/17/2023	I	L	No	No	0.62	40	31,049	N/A	N/A
530911	Eagle Lake	\$143,293	\$143,293	12/13/2022	P	L/G	Yes	Yes	1.69	20	2,600	2022 (Base)	2022 (Base)
310310	Fellsmere	\$545,383	\$60,598	7/22/2022	C	L/G	Yes	Yes	0.86	40	4,465	2022 (Base)	2021 (Base)
590341	FGUA (Arredondo)	\$2,227,750	\$272,250	5/4/2023	C	L/G	Yes	Yes	1.06	40	738	2022 (Bil)	2022 (Bil)
230220	Gulf County	\$393,625	\$538,575	8/8/2022	C	L/G	Yes	Yes	0.63	40	5,257	2022 (Base)	2021 (Base)
530451	Haines City	\$315,971	\$3,925,894	7/25/2022	C	L/G	No	Yes	0.15	40	30,545	2022 (Base)	2021 (Base)
460320	Holt Water Works	\$262,500	\$262,500	5/11/2023	P	L/G	Yes	Yes	1.75	20	3,042	2022 (Base)	2022 (Base)
0604D0	Hollywood	\$0	\$25,000,000	3/21/2023	I	L	No	Yes	0.13	40	147,566	N/A	N/A
0604D0	Hollywood	\$7,801,501	\$6,206,006	4/19/2023	I	L	No	Yes	0.13	40	147,566	N/A	N/A
5303B0	Lake Wales	\$0	\$3,670,000	1/13/2023	C	L	No	Yes	1.15	40	18,722	N/A	N/A
530370	Lake Wales	\$501,630	\$2,047,470	9/12/2022	C	L/G	No	Yes	0.51	40	18,722	2021 (Base)	2021 (Base)
400411	Madison	\$4,737,510	\$526,390	3/16/2023	C	L/G	No	Yes	0.22	40	30,642	2022 (Bil)	2022 (Bil)
351211	Mascotte	\$878,900	\$878,900	2/23/2023	P	L/G	Yes	Yes	1.62	20	8,862	2021 (Base)	2021 (Base)
010810	Newberry	\$0	\$150,000	10/3/2022	C	L	No	Yes	2	40	3,885	N/A	N/A
010810	Newberry	\$0	\$26,500	5/29/2023	I	L	No	Yes	2	40	3,885	N/A	N/A
642050	Orange City	\$0	\$3,810,476	3/16/2023	I	L	No	Yes	0	40	12,335	N/A	N/A
540250	Palatka	\$0	\$1,954,200	12/7/2022	I	L	No	Yes	0	40	12,000	N/A	N/A
030721	Parker	\$993,245	\$1,958,196	1/5/2023	C	L/G	Yes	Yes	0.25	40	3,817	2021 (Base)	2021 (Base)
620261	Perry	\$2,752,578	\$528,597	5/29/2023	C	L/G	No	Yes	1.57	40	30,642	2022 (Bil)	2022 (Bil)
532002	Polk RWC	\$0	\$14,370,666	3/1/2023	P	L	No	No	1.78	20	39,209	N/A	N/A
532001	Polk RWC	\$0	\$14,859,774	8/9/2022	P	L	No	No	1.64	20	39,209	N/A	N/A
532001	Polk RWC	\$0	\$7,046,516	1/24/2023	I	L	No	No	1.64	20	39,209	N/A	N/A
532000	Polk RWC	\$0	\$3,710,050	4/19/2023	I	L	No	No	1.89	20	39,209	N/A	N/A
062480	Pompano Beach	\$0	\$9,200,000	10/5/2022	C	L	No	No	1.64	40	84,000	N/A	N/A
030513	Springfield	\$4,955,002	\$2,574,252	5/9/2023	C	L/G	Yes	Yes	0.47	40	8,118	2022 (Bil)	2022 (Bil)
040210	Starke	\$92,500	\$92,500	8/23/2022	P	L/G	Yes	Yes	0.96	20	6,812	2022 (Base)	2021 (Base)
620301	WSD	\$3,068,300	\$340,922	5/10/2023	C	L/G	Yes	Yes	0.9	40	1,322	2022 (Bil)	2022 (Bil)
502700	Tequesta	\$0	\$2,631,932	10/11/2022	C	L	No	No	1.19	40	15,500	N/A	N/A
210111	Trenton	\$0	\$861,210	2/1/2023	I	L/G	Yes	Yes	0	20	9,000	N/A	N/A
580480	Venice	\$0	\$8,789,553	11/30/2022	I	L	No	No	0.22	20	31,358	N/A	N/A
250151	Wauchula	\$5,512,968	\$612,552	3/16/2023	C	L/G	Yes	Yes	0.89	60	5,000	2022 (Base)	2022 (Base)
Totals		\$39,327,872	\$129,702,812										

Total Funding for Grants and Loans \$169,030,684

Net Funding for Grants and Loans \$100,496,866

(includes decrease to project listed in Table 5a)



## Table 1: Projects Funded in SFY 2023 (continued)

Total Funding for Financially Disadvantaged Communities (loans)	\$67,050,321	
Total Funding for Financially Disadvantaged Communities (Grants)	\$39,327,872	
Total for Financially Disadvantaged Communities (Grants and Loans)	\$106,378,193	
Disadvantaged Community Assistance as a percentage of funds available	40%	
Disadvantaged Community Assistance as a percentage of Cap Grant	108%	
Total Funding for Small Communities (Grants and Loans)	\$29,263,924	(includes decrease to small community project in Table 5a)
Funds for Small Communities as a % of net binding commitments	29%	

\*\* Codes for Assistance Type: C - new construction agreement; I - increase to construction agreement; P - new planning/design agreement; PI - increase to planning/design agreement.



**Table 3: Administrative Expenses in SFY 2023**

Direct Salaries	Fringe	OPS Salaries	Total Salaries	Other	Indirect	Total Expenditures
\$498,973.90	\$380,517.50	\$0.00	\$879,491.40	\$6,203.99	\$410,546.57	\$1,296,241.96

## Table 4a: Disbursement and Cash Balances

### DWSRF Fund Balance by Fiscal Year

Fiscal Year	Deposits Of State Money	ACH Draw Proj	Disbursed Proj	Int. Earned On Account	Repayment Deposits	Balance	Cum. Balance
1999	11,000,000.00	3,912,040.00	(4,832,660.00)	670,609.54	0.00	10,749,989.54	10,749,989.54
2000	5,000,000.00	18,066,747.00	(22,318,403.00)	809,967.67	0.00	1,558,311.67	12,308,301.21
2001	5,000,000.00	32,603,716.00	(40,276,364.00)	976,582.91	545,168.35	(1,150,896.74)	11,157,404.47
2002	5,000,000.00	53,125,875.00	(65,307,576.00)	699,074.75	3,356,695.83	(3,125,930.42)	8,031,474.05
2003	5,000,000.00	25,046,582.00	(35,548,170.00)	624,020.38	8,041,574.13	3,164,006.51	11,195,480.56
2004	5,000,000.00	16,815,276.00	(49,703,746.00)	514,455.69	13,068,813.52	(14,305,200.79)	(3,109,720.23)
2005	5,000,000.00	17,355,058.00	(29,279,275.00)	492,541.86	12,723,021.49	6,291,346.35	3,181,626.12
2006	5,000,000.00	17,254,015.00	(29,479,903.00)	721,716.79	14,771,366.72	8,267,195.51	11,448,821.63
2007	1,000,000.00	18,332,105.00	(37,245,768.00)	1,507,922.35	16,018,926.00	(386,814.65)	11,062,006.98
2008	10,073,000.00	28,580,978.00	(48,197,211.00)	1,794,024.70	17,632,319.07	9,883,110.77	20,945,117.75
2009	2,840,700.00	28,032,312.00	(50,188,363.36)	932,220.84	23,356,809.37	4,973,678.85	25,918,796.60
2010	5,920,000.00	53,041,135.00	(66,601,403.00)	1,093,116.50	23,719,840.12	17,172,688.62	43,091,485.22
2011	2,240,000.00	63,278,498.00	(74,212,103.00)	1,507,697.96	30,673,844.30	23,487,937.26	66,579,422.48
2012	10,361,614.00	58,167,995.00	(61,867,142.00)	2,462,234.66	34,210,474.68	43,335,176.34	109,914,598.82
2013	7,888,498.00	22,407,828.00	(26,860,295.00)	2,437,736.57	33,099,400.12	38,973,167.69	148,887,766.51
2014	5,499,200.00	58,267,111.00	(76,995,223.00)	1,809,062.10	41,244,170.90	29,824,321.00	178,712,087.51
2015	5,499,200.00	21,260,451.27	(47,455,324.00)	3,100,843.52	47,217,166.21	29,622,337.00	208,334,424.51
2016	7,440,800.00	38,462,641.53	(19,931,261.00)	3,675,044.10	44,826,720.89	74,473,945.52	282,808,370.03
2017	6,038,200.00	22,447,152.69	(51,487,979.00)	4,428,590.48	90,142,459.17	71,568,423.34	354,376,793.37
2018	6,028,600.00	23,208,505.80	(52,549,352.00)	6,401,670.69	36,580,972.00	19,670,396.49	374,047,189.86
2019	6,400,000.00	29,344,786.00	(131,800,820.00)	8,153,666.62	37,970,631.01	(49,931,736.37)	324,115,453.49
2020	10,982,480.00	31,615,974.00	(138,180,148.00)	10,951,551.70	43,531,064.82	(41,099,077.48)	283,016,376.01
2021	8,668,800.00	25,252,709.00	(133,865,733.00)	5,733,607.75	85,355,767.66	(8,854,848.59)	274,161,527.42
2022	8,712,437.00	25,547,909.00	(81,329,103.00)	2,368,042.36	53,260,903.09	8,560,188.45	282,721,715.87
2023	11,243,200.00	25,000,000.00	(69,878,906.00)	6,812,297.00	50,644,235.00	23,820,826.00	306,542,541.87
<b>Totals:</b>	<b>162,836,729.00</b>	<b>756,427,400.29</b>	<b>(1,375,513,325.36)</b>	<b>70,678,299.49</b>	<b>761,992,344.45</b>	<b>306,542,541.87</b>	

**Table 4b: Disbursement and Cash Balances**

**DWSRF Service Fee Sub-Account by Fiscal Year**

<b>Fiscal Year</b>	<b>Admin Draw</b>	<b>Disb SFs</b>	<b>Pmt SFs</b>	<b>Interest</b>	<b>Adm Chgs</b>	<b>Balance</b>	<b>Cum Bal</b>
1997	0.00	0.00	0.00	0.00	(66,213.65)	(66,213.65)	(66,213.65)
1998	0.00	0.00	0.00	0.00	(535,986.07)	(535,986.07)	(602,199.72)
1999	1,330,099.00	0.00	0.00	0.00	(891,260.36)	438,838.64	(163,361.08)
2000	869,247.00	520,398.00	0.00	20,160.84	(838,034.62)	571,771.22	408,410.14
2001	839,636.00	0.00	97,776.74	32,343.11	(831,975.17)	137,780.68	546,190.82
2002	717,374.00	0.00	1,275,347.24	57,067.78	(703,187.55)	1,346,601.47	1,892,792.29
2003	811,045.00	0.00	982,433.18	123,713.88	(842,237.69)	1,074,954.37	2,967,746.66
2004	900,452.00	0.00	473,847.03	94,427.63	(903,092.40)	565,634.26	3,533,380.92
2005	731,133.00	0.00	1,063,929.37	175,865.14	(857,113.86)	1,113,813.65	4,647,194.57
2006	575,317.00	0.00	534,421.06	151,968.70	(782,289.62)	479,417.14	5,126,611.71
2007	0.00	0.00	342,013.59	234,482.98	(606,649.49)	(30,152.92)	5,096,458.79
2008	0.00	0.00	740,117.47	266,444.05	(584,232.06)	422,329.46	5,518,788.25
2009	0.00	0.00	1,169,118.81	148,504.18	(513,635.87)	803,987.12	6,322,775.37
2010	0.00	0.00	898,395.50	173,904.12	(560,492.40)	511,807.22	6,834,582.59
2011	0.00	0.00	741,637.70	172,112.68	(623,628.85)	290,121.53	7,124,704.12
2012	490,225.00	0.00	636,228.64	166,724.45	(793,373.29)	499,804.80	7,624,508.92
2013	0.00	0.00	1,179,286.33	133,929.82	(806,105.91)	507,110.24	8,131,619.16
2014	0.00	0.00	1,616,078.22	90,217.43	(566,650.36)	1,139,645.29	9,271,264.45
2015	0.00	0.00	313,588.25	136,369.90	(623,549.73)	(173,591.58)	9,097,672.87
2016	0.00	0.00	430,186.02	138,571.05	(738,206.40)	(169,449.33)	8,928,223.54
2017	0.00	0.00	811,563.31	139,496.02	(795,853.63)	155,205.70	9,083,429.24
2018	0.00	0.00	686,068.60	183,491.99	(876,755.47)	(7,194.88)	9,076,234.36
2019	0.00	0.00	993,083.13	263,996.43	(1,424,659.91)	(167,580.35)	8,908,654.01
2020	0.00	0.00	88,431.81	237,777.76	(1,287,059.83)	(960,850.26)	7,947,803.75
2021	0.00	0.00	1,071,392.77	117,819.90	(1,377,203.08)	(187,990.41)	7,759,813.34
2022	0.00	0.00	2,031,383.91	57,658.70	(1,353,526.46)	735,516.15	8,495,329.49
2023	0.00	0.00	2,772,704.26	135,116.00	(1,306,624.75)	1,601,195.51	10,096,525.00
<b>Totals:</b>	<b>7,264,528.00</b>	<b>520,398.00</b>	<b>20,949,032.94</b>	<b>3,452,164.54</b>	<b>(22,089,598.48)</b>	<b>10,096,525.00</b>	

**TABLE 5a: Loan Decreases for SFY 2023**

Project Sponsor	Project Number	Small Comm.	Disadvantaged Community	Amendment Date	Loan Amount	Grant Amount	Total Decrease
American Beach	450510	Yes	Yes	9/12/2022	(\$26,540)	(\$26,540)	(\$53,079)
Cape Coral	360130	No	No	10/18/2022	(\$45,429,140)	\$0	(\$45,429,140)
Fairpoint	570101	No	No	3/16/2023	(\$152,331)	\$0	(\$152,331)
FGUA (Arredondo Estates)	590340	Yes	No	1/19/2023	(\$43,769)	\$0	(\$43,769)
Fort Myers Beach	360800	Yes	No	7/14/2022	(\$1,424,081)	\$0	(\$1,424,081)
Gasparilla Island	360810	Yes	No	8/30/2022	(\$125,229)	\$0	(\$125,229)
Haines City	530450	No	Yes	7/14/2022	(\$315,971)	(\$1,963,303)	(\$2,279,274)
Hawthorne	010411	Yes	Yes	6/9/2023	(\$7,879)	(\$22,019)	(\$29,898)
Hillsboro Beach	062600	Yes	No	7/28/2022	(\$885,068)	\$0	(\$885,068)
Homosassa	090202	Yes	Yes	6/27/2023	(\$211,061)	(\$227,596)	(\$438,657)
Indiantown	430340	Yes	Yes	12/8/2022	(\$17,335)	(\$17,335)	(\$34,669)
Lakeland	530661	No	No	12/13/2022	(\$660,007)	\$0	(\$660,007)
Miami-Dade	130230	No	Yes	7/29/2022	(\$5,113,041)	\$0	(\$5,113,041)
Miami-Dade	130260	No	Yes	12/2/2022	(\$5,813,958)	\$0	(\$5,813,958)
Miramar	060820	No	No	1/19/2023	(\$532,479)	\$0	(\$532,479)
Mount Dora	3514A0	No	No	3/9/2023	(\$41,047)	\$0	(\$41,047)
North Bay Village	130420	No	No	11/18/2022	(\$1,725,390)	\$0	(\$1,725,390)
Opa-Locka	130331	No	Yes	8/29/2022	(\$2,461,686)	\$0	(\$2,461,686)
Orange City	642040	Yes	Yes	6/28/2023	(\$53,370)	(\$53,370)	(\$106,739)
Sarasota	580240	No	No	5/4/2023	(\$543,194)	\$0	(\$543,194)
St. Johns County	550130	No	No	3/1/2023	(\$69,181)	\$0	(\$69,181)
Suwannee County	610410	Yes	Yes	5/21/2023	\$0	(\$1)	(\$1)
Wauchula	250150	Yes	Yes	1/13/2023	(\$285,950)	(\$285,950)	(\$571,900)
<b>TOTAL DECREASES</b>					<b>(\$65,937,705.41)</b>	<b>(\$2,596,112.59)</b>	<b>(\$68,533,818.00)</b>

## Table 5b: Source and Use of Funds

### DWSRF Funds by Fiscal Year

Fiscal Year	Balance Forward	Federal Capital*	State Approps.	Interest On Fund	Principal & Int Repaid	Decreases	Awards	Cumulative Net Awards	Balance
1997	---	0	0	0	0	0	0	0	0
1998	0	56,187,960	7,000,000	90,881	0	0	0	0	63,278,841
1999	63,278,841	18,390,345	11,000,000	670,610	0	0	28,560,434	28,560,434	64,779,362
2000	64,779,362	21,220,819	5,000,000	809,968	0	-29,149	76,390,589	104,921,874	15,448,709
2001	15,448,709	20,479,416	5,000,000	976,583	545,168	-17,850	38,952,995	143,857,019	3,514,731
2002	3,514,731	17,377,331	5,000,000	699,075	3,356,696	-4,161,655	31,251,711	170,947,075	2,857,777
2003	2,857,777	15,986,806	5,000,000	624,020	8,041,574	-1,998,909	33,937,939	202,886,105	571,147
2004	571,147	17,355,058	5,000,000	514,456	13,068,814	-560,282	33,303,639	235,629,462	3,766,118
2005	3,766,118	17,254,015	5,000,000	492,542	12,723,021	-4,038,550	31,838,097	263,429,009	11,436,149
2006	11,436,149	33,079,965	5,000,000	721,717	14,771,367	-2,070,254	37,231,995	298,590,750	29,847,457
2007	29,847,457	33,060,874	1,000,000	1,507,922	16,018,926	-374,770	35,814,156	334,030,136	45,995,793
2008	45,995,793	38,050,100	10,073,000	1,794,025	17,632,319	-722,783	62,543,839	395,851,192	51,724,181
2009	51,724,181	119,022,309	2,840,700	932,221	23,356,809	-388,917	51,985,366	447,447,641	146,279,771
2010	146,279,771	0	5,920,000	1,093,117	23,719,840	-16,022,394	145,803,617	577,228,864	47,231,505
2011	47,231,505	38,998,080	2,240,000	1,507,698	30,673,844	-14,524,344	59,971,269	622,675,789	75,204,202
2012	75,204,202	0	10,361,614	2,462,235	34,210,475	-5,091,232	96,943,271	714,527,828	30,386,487
2013	30,386,487	56,816,900	7,888,498	2,437,737	33,099,400	-7,961,193	77,246,508	783,813,143	61,343,707
2014	61,343,707	27,839,022	5,499,200	1,809,062	41,244,171	-14,000,315	65,282,179	835,095,007	86,453,298
2015	86,453,298	29,115,000	5,499,200	3,100,844	47,217,166	-730,974	8,141,471	842,505,504	163,975,011
2016	163,975,011	31,173,860	7,440,800	3,675,044	44,826,721	-9,620,332	46,193,401	879,078,573	214,518,367
2017	214,518,367	31,543,093	6,038,200	4,428,590	90,142,459	-6,474,653	163,662,938	1,036,266,858	189,482,424
2018	189,482,424	27,427,412	6,028,600	6,401,671	36,580,972	-11,078,769	152,362,939	1,177,551,028	124,636,909
2019	124,636,909	41,069,786	6,400,000	8,153,667	37,970,631	-4,171,594	111,181,343	1,284,560,777	111,221,244
2020	111,221,244	38,378,165	10,982,480	10,951,552	43,531,065	-8,334,671	159,283,265	1,435,509,371	47,446,570
2021	64,115,912	3,955,999	8,668,800	5,733,608	85,355,768	-26,370,723	131,502,362	1,540,641,010	84,552,448
2022	84,552,448	40,639,370	8,712,437	2,368,042	53,260,903	-18,165,290	169,420,309	1,691,896,029	38,278,181
2023	38,278,181	50,000,000	11,243,200	139,752	50,644,235	-68,533,818	169,030,684	1,792,392,895	49,808,502
Totals:		824,421,685	149,881,092	61,588,845	658,087,206	-138,744,313	1,848,805,632		

\*Federal Capital is based on the fiscal year in which ACH/ASAP deposit was made and is the project portion only, not the actual cap grant amount.

**Table 5c: Source and Use of Funds**

**DWSRF Grants and Loans by Fiscal Year**

<b>Fiscal Year</b>	<b>Grant Amount</b>	<b>Grant Decrease</b>	<b>Loan Amount</b>	<b>Loan Decrease</b>	<b>Total Amount</b>	<b>No. Of Grants</b>	<b>No. Of Loans</b>	<b>No. Of Gt/Lns</b>	<b>Total Number</b>
1999	1,136,982	0	27,423,452	0	28,560,434	9	5	3	17
2000	9,474,091	0	66,916,498	-29,149	76,390,589	6	17	4	27
2001	6,784,320	-17,850	32,168,675	0	38,952,995	8	14	5	27
2002	2,803,572	-1,514	28,448,139	-4,160,141	31,251,711	7	14	4	25
2003	4,226,401	-169,562	29,711,538	-1,829,347	33,937,939	4	11	6	21
2004	2,707,072	-166,220	30,596,567	-394,062	33,303,639	10	18	2	30
2005	3,383,085	0	28,455,012	-4,038,550	31,838,097	6	10	6	22
2006	1,324,963	-3,524	35,907,032	-2,066,730	37,231,995	2	11	2	15
2007	323,930	-72,684	35,490,226	-302,086	35,814,156	0	14	1	15
2008	10,872,248	-113,723	51,671,591	-609,060	62,543,839	5	21	3	29
2009	5,460,461	0	46,524,905	-388,917	51,985,366	0	16	3	19
2010	66,428,278	-3,822,773	79,375,339	-12,199,621	145,803,617	0	28	40	68
2011	14,475,262	-2,520,734	45,496,007	-12,003,610	59,971,269	4	28	8	40
2012	6,884,370	-307,377	90,058,901	-4,783,855	96,943,271	2	14	8	24
2013	6,897,723	-97,844	70,348,785	-7,863,349	77,246,508	9	13	6	28
2014	8,244,495	-719,508	57,037,684	-13,280,807	65,282,179	4	10	5	19
2015	530,472	-174,729	7,610,999	-556,245	8,141,471	1	10	1	12
2016	13,551,290	-214,717	32,642,111	-9,405,615	46,193,401	4	8	5	17
2017	4,799,389	-49,570	158,863,549	-6,425,083	163,662,938	2	24	1	27
2018	13,685,219	-900,577	138,677,720	-10,178,192	152,362,939	3	14	14	31
2019	14,423,688	-212,282	96,757,655	-3,959,313	111,181,343	2	21	20	43
2020	11,429,453	-478,035	147,853,812	-7,856,636	159,283,265	6	16	18	40
2021	15,564,059	-3,541,027	115,938,303	-22,829,696	131,502,362	3	20	19	42
2022	24,745,376	-2,129,555	144,674,933	-16,035,735	169,420,309	0	16	19	35
2023	39,327,872	-2,596,113	129,702,812	-65,937,705	100,496,866	0	7	16	23
<b>Totals:</b>	<b>289,484,071</b>	<b>-18,309,918</b>	<b>1,728,352,245</b>	<b>-207,133,504</b>	<b>1,949,302,498</b>	<b>97</b>	<b>380</b>	<b>219</b>	<b>696</b>

\*Federal Capital is based on the fiscal year in which ACH/ ASAP deposit was made and is the project portion only, not the actual cap grant amount.



**Table 6: DWSRF Set-Aside Expenses for SFY 2023**

CATEGORY	Tech Asst to Pub Water Sys (TAPWS)	Pub Water Sys Suprvsn (PWSS)	Source Water Protection (SWP)	Total State Programs (PWSS+SWP)	Source Water Assess & Delin (SSWAD)	TOTAL
Personnel		0	99,356	99,356	0	99,356
Fringe		0	48,782	48,782	0	48,782
OPS	0	0	0	0	0	0
Contracts	625,140	0	0	0	0	625,140
Other	0	1,555,368	352,790	1,908,158	0	1,908,158
<b>Total Direct:</b>	625,140	1,555,368	500,928	2,056,296	0	2,681,436
<b>Total Indirect:</b>	0	0	0	0	0	0
<b>Totals:</b>	625,140	1,555,368	500,928	2,056,296	0	2,681,436

**Table 7: Binding Commitments and Federal Payments to the LOC**

		Deposits into LOC by Capitalization Grant						
Federal Fiscal Year	Quarter	SFY2020	SFY2021	SFY2022	SFY2023	Cumulative Deposits into LOC	Required Binding Commitments	Actual Binding Commitments
1998 to 2017						750,787,720		1,177,551,028
2018	1					750,787,720	900,945,264	
	2					750,787,720	900,945,264	
	3					750,787,720	900,945,264	1,284,560,777
	4	43,187,400				793,975,120	952,770,144	
2019	1					793,975,120	952,770,144	
	2					793,975,120	952,770,144	
	3					793,975,120	952,770,144	1,435,509,371
	4		43,344,000			837,319,120	1,004,782,944	
2020	1					837,319,120	1,004,782,944	
	2					837,319,120	1,004,782,944	
	3					837,319,120	1,004,782,944	1,540,641,010
	4			43,562,185		880,881,305	1,057,057,566	
2021	1					880,881,305	1,057,057,566	
	2					880,881,305	1,057,057,566	
	3					880,881,305	1,057,057,566	1,691,896,029
	4				43,562,185	924,443,490	1,109,332,188	
2022	1					924,443,490	1,109,332,188	
	2					924,443,490	1,109,332,188	
	3					924,443,490	1,109,332,188	1,792,392,895
	4					924,443,490	1,109,332,188	
Totals		43,187,400	43,344,000	43,562,185	43,562,185			